

Digital Signal Processing

DSP

Chapter-1 : Introduction

Marc Moonen

Dept. E.E./ESAT-STADIUS, KU Leuven

marc.moonen@esat.kuleuven.be

www.esat.kuleuven.be/stadius/

Chapter-1 : Introduction

- **Aims/Scope**

Why study DSP ?

DSP in applications : Mobile communications example

DSP in applications : Hearing aids example

- **Overview**

Filter design & implementation

Optimal and adaptive filters

Filter banks and subband systems

- **Lectures/course material/literature**

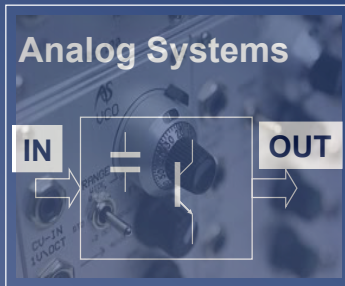
- **Exercise sessions**

- **Exam**

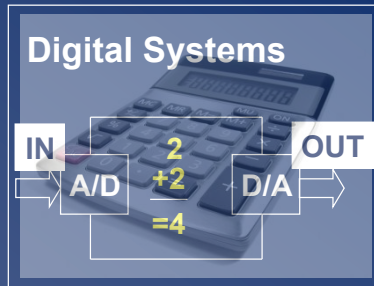


Why study DSP ?

- **Analog Systems**



vs. **Digital Systems**



- Can translate (any) analog (e.g. filter) design into digital
- Going `digital' allows to expand functionality/flexibility/... (e.g. speech recognition, audio compression...)

Why study DSP ?

- **Start with two `DSP in applications' examples:**
 - DSP in mobile communications
 - DSP in hearing aids

- **Main message:**

Consumer electronics products (and many other systems) have become (embedded) `supercomputers' (Mops...Gops/sec), packed with mathematics & DSP functionalities...

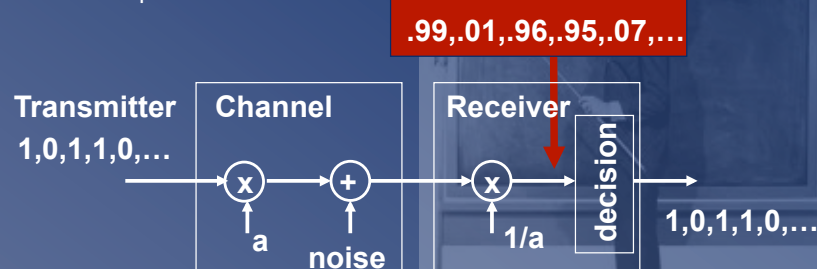
DSP in applications: Mobile Communications 1/10

Cellular Mobile Communications (e.g. GSM/UMTS/4G/...)

- **Basic network architecture :**
 - Country covered by a grid of cells
 - Each cell has a base station
 - Base station connected to land telephone network and communicates with mobiles via a radio interface
 - Digital communication format

DSP in applications: Mobile Communications 2/10

- **DSP for Digital Communications ('physical layer') :**
 - A common misunderstanding is that digital communications is 'simple'



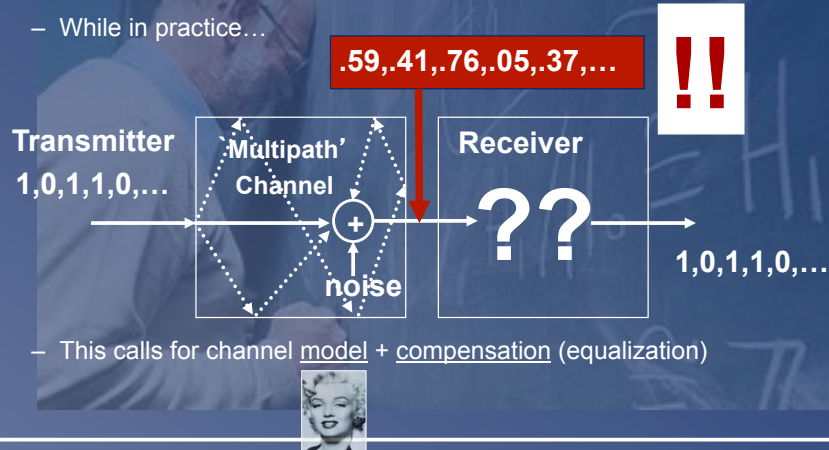
- While in practice...

PS: This is a discrete-time system representation, see Chapter-2 for review on signals&systems

DSP in applications: Mobile Communications 3/10

- DSP for Digital Communications (‘physical layer’):

- While in practice...



- This calls for channel model + compensation (equalization)

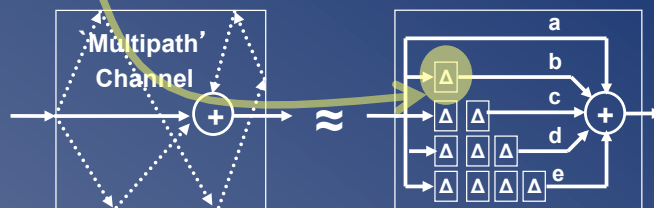
DSP in applications: Mobile Communications 4/10

- DSP Challenges: Channel Estimation/Compensation

- Multi-path channel is modeled with short (3...5 taps) FIR filter

$$H(z) = a + b.z^{-1} + c.z^{-2} + d.z^{-3} + e.z^{-4}$$

(interpretation?)



PS: z^{-1} or Δ represents a sampling period delay, see Chapter-2 for review on z-transforms

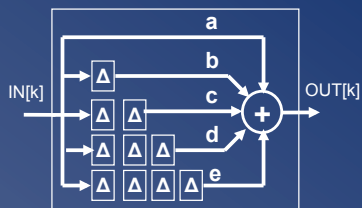
DSP in applications: Mobile Communications 5/10

- **DSP Challenges: Channel Estimation/Compensation**

- Multi-path channel is modeled with short (3...5 taps) FIR filter

$$H(z) = a + b.z^{-1} + c.z^{-2} + d.z^{-3} + e.z^{-4}$$

$$\begin{bmatrix} OUT[1] \\ OUT[2] \\ OUT[3] \\ OUT[4] \\ OUT[5] \\ \vdots \\ OUT[K] \end{bmatrix} = \begin{bmatrix} IN[1] & 0 & 0 & 0 & 0 \\ IN[2] & IN[1] & 0 & 0 & 0 \\ IN[3] & IN[2] & IN[1] & 0 & 0 \\ IN[4] & IN[3] & IN[2] & IN[1] & 0 \\ IN[5] & IN[4] & IN[3] & IN[2] & IN[1] \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & IN[K-4] \end{bmatrix} \cdot \begin{bmatrix} a \\ b \\ c \\ d \\ e \end{bmatrix}$$



=convolution

DSP in applications: Mobile Communications 6/10

- **DSP Challenges: Channel Estimation/Compensation**

Channel coefficients (a,b,c,d,e) are identified in receiver based on transmission of pre-defined training sequences (TS)

Problem to be solved at receiver is: 'Given channel input (=TS) and channel output (=observed), compute channel coefficients'

This leads to a least-squares parameter estimation

$$\min_{a,b,c,d,e} \left\| \begin{bmatrix} OUT[1] \\ OUT[2] \\ OUT[3] \\ OUT[4] \\ OUT[5] \\ \vdots \\ OUT[K] \end{bmatrix} - \begin{bmatrix} IN[1] & 0 & 0 & 0 & 0 \\ IN[2] & IN[1] & 0 & 0 & 0 \\ IN[3] & IN[2] & IN[1] & 0 & 0 \\ IN[4] & IN[3] & IN[2] & IN[1] & 0 \\ IN[5] & IN[4] & IN[3] & IN[2] & IN[1] \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & IN[K-4] \end{bmatrix} \cdot \begin{bmatrix} a \\ b \\ c \\ d \\ e \end{bmatrix} \right\|_2^2$$

See Chapter-6 on 'Optimal Filtering'

C. F. Gauss
 Deus numerus, aut mihi gratias, tu quoque laus
 Meis servare bonis.

DSP in applications: Mobile Communications 7/10

- **DSP Challenges: Channel Estimation/Compensation**

- Channel coefficients (cfr. a,b,c,d,e) are identified in receiver based on transmission of pre-defined training sequences (TS)
- Channel model is then used to design suitable equalizer ('channel inversion'), or (better) to reconstruct transmitted data bits based on maximum-likelihood sequence estimation (e.g. 'Viterbi decoding')
- Channel is highly time-varying (e.g. terminal speed 120 km/hr !)
=> All this is done at 'burst-rate' (e.g. 100's times per sec)

= SPECTACULAR !!

DSP in applications: Mobile Communications 8/10


- **DSP Challenges: Speech Coding**

- Original PCM-signal has 64kbits/sec = 8 ksamples/sec*8bits/sample
- Aim is to reduce this to <11kbits/sec, while preserving quality!
- Coding based on speech generation model (vocal tract,...), where model coefficient are identified for each new speech segment (e.g. 20 msec)



DSP in applications: Mobile Communications 9/10

• DSP Challenges: Speech Coding

- Original PCM-signal has 64kbits/sec = 8 ksamples/sec*8bits/sample
- Aim is to reduce this to <11kbits/sec, while preserving quality!
- Coding based on speech generation **model** (vocal tract,...), where model coefficient are identified for each new speech segment (e.g. 20 msec)
- This leads to a **least-squares parameter estimation** (again), executed +- 50 times per second. Fast algorithm is used, e.g. 'Levinson-Durbin' algorithm

See Chapter-6 on 'Optimal Filtering'
- Then transmit model coefficients instead of signal samples (!!!)
- Synthesize speech segment at receiver (should 'sound like' original speech segment)

= SPECTACULAR !!

DSP in applications: Mobile Communications 10/10

• DSP Challenges: Multiple Access Schemes

Accommodate multiple users by time & frequency 'multiplexing'

- FDMA: frequency division multiple access
- OFDMA: orthogonal frequency division multiple access
- TDMA: time division multiple access
- CDMA: code division multiple access

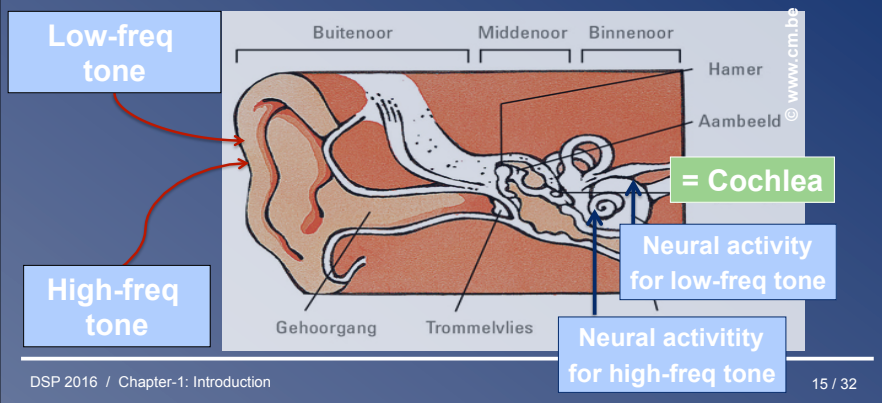
• etc..

= BOX FULL OF DSP/MATHEMATICS !!
(for only €25)

DSP in applications: Hearing Aids 1/10

Hearing

- Outer ear/middle ear/inner ear
- Tonotopy of inner ear: spatial arrangement of where sounds of different frequency are processed



DSP in applications: Hearing Aids 2/10

Hearing loss types:

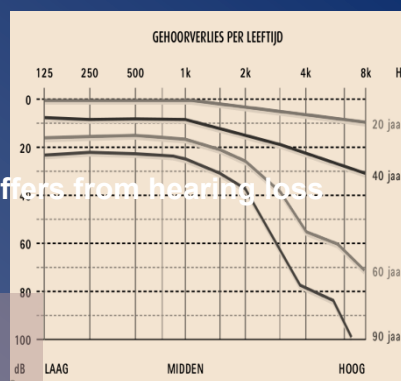
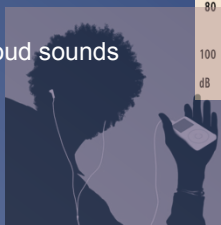
- Conductive
- Sensorineural
- Mixed

One in six adults (Europe) suffers from hearing loss

...and still increasing

Typical causes:

- Aging
- Exposure to loud sounds
- ...



[Source: Lapperre]

DSP in applications: Hearing Aids 3/10

→ Hearing Aids (HAs)

- Audio input/audio output ('microphone-processing-loudspeaker')
- 'Amplifier', but so much more than an amplifier!!
- History:
 - Horns/trumpets/...
 - 'Desktop' HAs (1900)
 - Wearable HAs (1930)
 - Digital HAs (1980)
- State-of-the-art:
 - MHz's clock speed
 - Millions of arithmetic operations/sec, ...
 - Multiple microphones



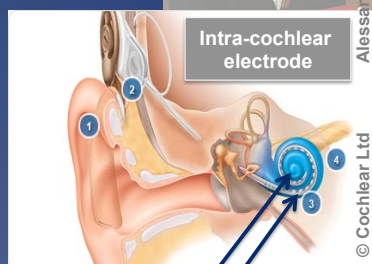
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DSP in applications: Hearing Aids 4/10

→ Cochlear Implants (Cis)

- Audio input/electrode stimulation output
- Stimulation strategy + preprocessing similar to HAs
- History:
 - Volta's experiment...
 - First implants (1960)
 - Commercial CIs (1970-1980)
 - Digital CIs (1980)
- State-of-the-art:
 - MHz's clock speed, Mops/sec, ...
 - Multiple microphones



→ Other: Bone anchored HAs, middle ear implants, ...

= BOX FULL OF DSP/MATHEMATICS !!

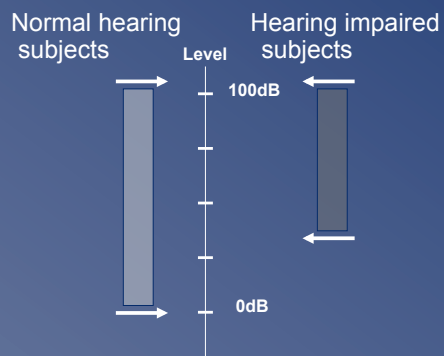
Electrical stimulation
for low frequency

Electrical stimulation
for high frequency

DSP in applications: Hearing Aids 5/10

DSP Challenges: Dynamic range compression

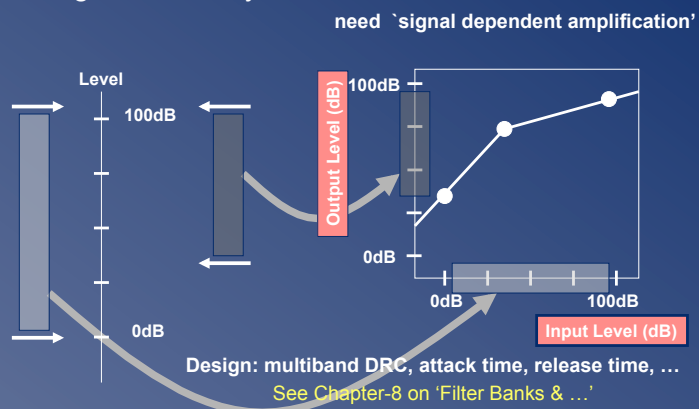
Dynamic range & audibility



DSP in applications: Hearing Aids 5/10

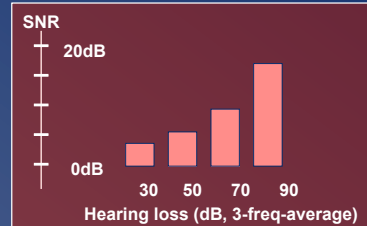
DSP Challenges: Dynamic range compression

Dynamic range & audibility



DSP in applications: Hearing Aids 6/10

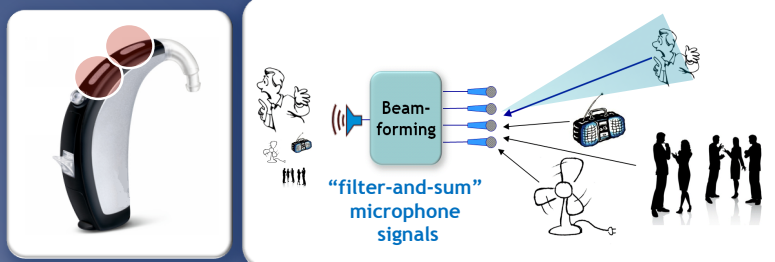
- However: Audibility does not imply intelligibility
- Hearing impaired subjects need 5..10dB larger signal-to-noise ratio (SNR) for speech understanding in noisy environments
- Need for noise reduction (=speech enhancement) algorithms:
 - State-of-the-art: monaural 2-microphone adaptive noise reduction
 - Near future: binaural noise reduction (see below)
 - Not-so-near future: cooperative HAs with multi-node noise reduction



DSP in applications: Hearing Aids 7/10

DSP Challenges: Noise reduction

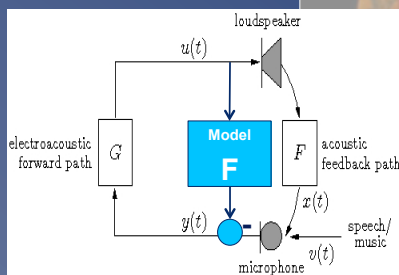
Multimicrophone 'beamforming', typically with 2 microphones, e.g. 'directional' front microphone and 'omnidirectional' back microphone



DSP in applications: Hearing Aids 8/10

DSP Challenges: Feedback cancellation

- Problem statement: Loudspeaker signal is fed back into microphone, then amplified and played back again
- Closed loop system may become unstable (howling)
- Similar to feedback problem in public address systems (for the musicians amongst you)



Similar to echo cancellation in GSM handsets, Skype, ... but more difficult due to signal correlation

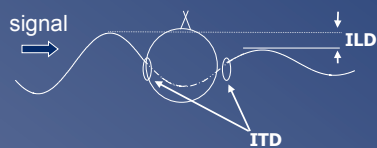
See Chapter-6 on 'Adaptive Filtering'

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DSP in applications: Hearing Aids 9/10

Binaural hearing: Binaural auditory cues

- ITD (interaural time difference)
- ILD (interaural level difference)



- Binaural cues (ITD: $f < 1500\text{Hz}$, ILD: $f > 2000\text{Hz}$) used for
 - Sound localization
 - Noise reduction
 = 'Binaural unmasking' ('cocktail party' effect)
 - 0-5dB



DSP in applications: Hearing Aids 10/10

DSP Challenges: Binaural hearing aids

- Two hearing aids (L&R) with wireless link & cooperation
- Opportunities:
 - More signals (e.g. 2*2 microphones)
 - Better sensor spacing (17cm i.o. 1cm)
- Constraints: power/bandwidth/delay of wireless link
- Challenges:
 - Improved localization through 'localization cue' preservation
 - Improved noise reduction + benefit from 'binaural unmasking'
 - Signal selection/filtering, audio coding, synchronisation, ...



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DSP in applications : Other...

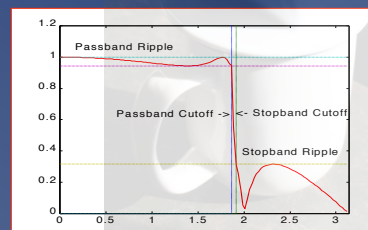
- **Digital Communications**
Wireline (xDSL, Powerline), Wireless (GSM, 3G, 4G, Wi-Fi, WiMax, CDMA, MIMO-transmission,...)
- **Speech**
Speech coding (GSM, DECT, ..), Speech synthesis (text-to-speech), Speech recognition
- **Audio Signal Processing**
Audio Coding (MP3, AAC, ..), Audio synthesis
Editing, Automatic transcription, Dolby/Surround, 3D-audio, ...
- **Image/Video**
- ...

Aims/Scope

- **Basic signal processing theory/principles**
Filter design, filter banks, optimal filters & adaptive filters
...as well as...
- **Recent/advanced topics**
Robust filter realization, perfect reconstruction filter banks,
fast adaptive algorithms, ...
- **Often `bird's-eye view`**
Skip many mathematical details (if possible... ☺)
Selection of topics (non-exhaustive)
- Prerequisites: Signals & Systems (sampling, transforms,..)

Overview

- **Part I : Introduction**
Chapter-1: Introduction
Chapter-2: Signals and Systems Review
- **Part II : Filter Design & Implementation**
Chapter-3: Filter Design
Chapter-4: Filter Realization
Chapter-5: Filter Implementation

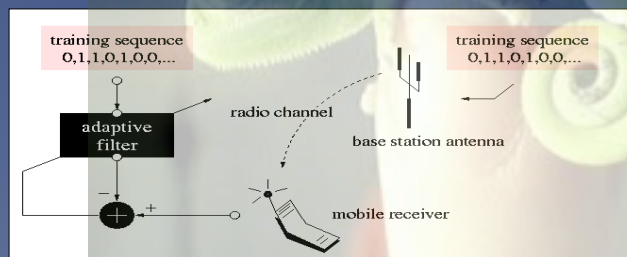


Overview

- **Part III** : Optimal & Adaptive Filtering

Chapter-6: Wiener Filters & the LMS Algorithm

Chapter-7: Recursive Least Squares Algorithms

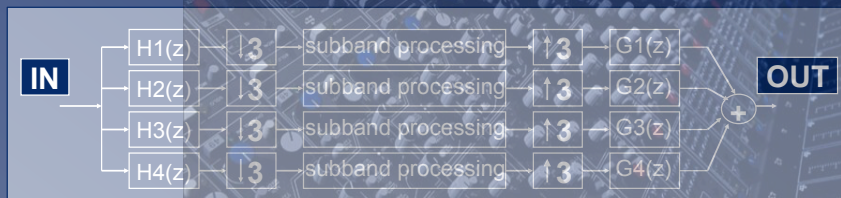


Overview

- **Part IV** : Filter Banks & Subband Systems

Chapter-8: Filter Bank Preliminaries/Applications

Chapter-9: Filter Bank Design



Lectures

Lectures: $2 + 8 \cdot 1.5 \text{ hrs} = 14 \text{ hrs}$

Course Material:

- Slides

<http://homes.esat.kuleuven.be/~dspuser/DSP-CIS/2016-2017/>:

- Optional reading: 'Introduction to Adaptive Signal Processing' (Marc Moonen & Ian.K. Proudler)
- Lectures 2 & 4 with audio

Literature

- **A. Oppenheim & R. Schaffer (*)**
'Digital Signal Processing' (Prentice Hall 1977)
- **L. Jackson**
'Digital Filters and Signal Processing' (Kluwer 1986)
- **Simon Haykin**
'Adaptive Filter Theory' (Pearson Education 2014)
- **P.P. Vaidyanathan**
'Multirate Systems and Filter Banks' (Dorling Kindersley 1993)
- **M. Bellanger**
'Digital Processing of Signals' (Kluwer 1986)
- **etc...**

(*) MOOC www.edx.org/course/discrete-time-signal-processing-mitx-6-341x-1