## INTERCONNECTED SYSTEMS

## TEARING and ZOOMING CONTROL

Chaire Francqui, Lecture V, May 19, 2004
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## Road Map

What is a dynamical system?

## Road Map

## What is a dynamical system ?

Historically:

- 'Closed' systems $\leadsto \quad \frac{d}{d t} x=f(x) \quad$ very limited
- Input/output map?
- Input/state/output structure?

Assumes I/O partition. Possible? Obtainable? How? Needed?

- Other possibilities? CS? Graph theory? Object oriented modeling?


## Road Map

## What is a dynamical system?

What is a mathematical model, really?
Dynamical system :=(T,W, $\mathfrak{B})$ with $\mathfrak{B} \subseteq(\mathbb{W})^{\mathbb{T}}$ the 'behavior'.

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Dynamical system :=(T,W, $\mathbb{B})$ with $\mathfrak{B} \subseteq(\mathbb{W})^{\mathbb{T}}$ the 'behavior'.

Behavioral eq'ns contain latent variables
$\leadsto$ elimination thms, algorithms.

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Basic notions

- Controllability $\leadsto$ image representation
- Observability
- (Dissipative systems)
- (Stability)
- State $\sim$ state representation algorithms


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Basic problems

- Modeling from data (system ID)
- Modeling by interconnecting components
- Control (= interconnection) $\leadsto \mathrm{LQ}, \mathcal{H}_{2}, \mathcal{H}_{\infty}$
- ...


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Projects

- N-d systems and PDE's (Rocha, Shankar, Pillai, Zerz, Oberst)
- Software
- Stochastic systems


## THEME

1. Modeling by tearing and zooming

- General ideas
- Terminals
- Modules
- Interconnection architecture
- Examples
- RTCT circuits


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- Implementability


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2. Control

- Control by interconnection
- Implementability

3. Conclusions

## TEARING and ZOOMING

How do we model a complex interconnected system?


## TEARING and ZOOMING

How do we model a complex interconnected system?


When systems are interconnected, what really happens?
How do we obtain a model from
the components and the interconnections?

## TEARING and ZOOMING

## How do we model a complex interconnected system?


'Tearing' the system into subsystems, and, in order to model, 'zooming' on the individual subsystems

## TEARING and ZOOMING



The ingredients of the language and methodology that we propose:

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the layout of the modules and their interconnection

## TEARING and ZOOMING



The ingredients of the language and methodology that we propose:

1. Modules : the subsystems
2. Terminals : the physical links between subsystems
3. The interconnection architecture :
the layout of the modules and their interconnection
4. The manifest variable assignment :
which variables does the model aim at?

## TEARING and ZOOMING

## Features:

- Reality - 'physics' - based
- Uses behavioral systems concepts
more akin to bond-graphs and across/through variables, than to input/output thinking.
- Hierarchical: allows new systems to be build from old
- Models are reusable, generalizable \& extendable
- Assumes that accurate and detailed modelling is the aim


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System theory with its inputs and outputs and signal flow graphs, as implemented e.g. in SIMULINK ${ }^{\circledR}$ is hopelessly inadequate. MODELICA ${ }^{\circledR}$ is much better.

## TERMINALS

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The type implies an ordered set of terminal variables.

Example:
An electrical terminal (type)
implies a (voltage, current) pair of real terminal variables.

## Examples

| Type of terminal | Variables | Signal space |
| :--- | :--- | :--- |$|$| electrical | (voltage, current) | $\mathbb{R}^{2}$ |
| :--- | :--- | :--- |
| mechanical (1-D) | (force, position) | $\mathbb{R}^{2}$ |
| mechanical (2-D) | ((position, attitude), <br> (force, torque)) | $\left(\mathbb{R}^{2} \times S^{1}\right)$ <br> $\times\left(\mathbb{R}^{2} \times T^{*} S^{1}\right)$ |
| mechanical (3-D) | ((position, attitude), <br> (force, torque)) | $\left(\mathbb{R}^{2} \times S^{2}\right)$ <br> $\times\left(\mathbb{R}^{2} \times T^{*} S^{2}\right)$ |
| thermal | (temp., heat flow) | $\mathbb{R}^{2}$ |
| fluidic | (pressure, flow) | $\mathbb{R}^{2}$ |
| thermal - fluidic | (pressure, temp., <br> mass flow, heat flow) | $\mathbb{R}^{4}$ |

## Examples

| Type of terminal | Variables | Signal space |
| :---: | :---: | :---: |
| chemical |  |  |
| input | $\boldsymbol{u}$ | $\mathbb{U} \subseteq \mathbb{R}$ |
| output | $\boldsymbol{y}$ | $\mathbb{Y} \subseteq \mathbb{R}$ |
| m-dim input | $\left(\boldsymbol{u}_{\boldsymbol{1}}, \boldsymbol{u}_{\mathbf{2}}, \ldots, \boldsymbol{u}_{\boldsymbol{m}}\right)$ | $\mathbb{U} \subseteq \mathbb{R}^{\mathrm{m}}$ |
| p-dim output | $\left(\boldsymbol{y}_{1}, \boldsymbol{y}_{\mathbf{2}}, \ldots, \boldsymbol{y}_{\boldsymbol{p}}\right)$ | $\mathbb{Y} \subseteq \mathbb{R}^{\mathrm{p}}$ |
| etc. | etc. | etc. |

## MODULES

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- its type,
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- and its parameter values.


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- and its parameter values.

The idea is the following.
By specifying the module type, we give the variables living on its terminals. We want a fully automated way of specifying the behavior of these variables. This typically happens by specifying some parameters, and a map, the parametrization, which maps these parameters into the correct behavior.

## Example

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The module type is 'Ohmic resistor'. This means that it has two electrical terminals $\sim$ terminal variables $\left(\left(V_{1}, I_{1}\right),\left(V_{2}, I_{2}\right)\right)$.

The possible behaviors form a family of two-dimensional linear subspaces of $\mathbb{R}^{4}$.

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The resistance parametrization is the map from $R \in[0, \infty)$ into the behavioral eq'ns

$$
V_{1}-V_{2}=R I_{1}, \quad I_{1}+I_{2}=0
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$$

The parameter value equals 3 , via the parametrization $\leadsto$

$$
V_{1}-V_{2}=3 I_{1}, \quad I_{1}+I_{2}=0
$$

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Together with the terminal types,
$\leadsto$ an ordered set of terminal variables

$$
\left(\left(w_{t_{1}, 1}, w_{t_{1}, 2}, \ldots\right), \ldots,\left(w_{t_{\mathrm{N}}, 1}, w_{t_{\mathbb{N}}, 2}, \ldots\right)\right)
$$

taking values in the product space of the terminal signal spaces.

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$$

taking values in the product space of the terminal signal spaces.
The module type also specifies a set $\mathbb{B}$ of possible behaviors of the terminal variables of the module.

## Parametrization

We assume that the module is further specified by
a parametrization of $\mathbb{B}$,
that is, a surjective map $\pi$ from a parameterspace $\mathbb{P}$ into the space of behaviors $\mathbb{B}$.

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$\mathbb{P}$ is typically a combination of a set of integers and real numbers.

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A module is further specified by giving the value of the parameters .

## Parametrization

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## MODULES

By specifying a module, we thus obtain the behavior of the variables

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\left(w_{1}, w_{2}, \ldots, w_{\mathrm{n}}\right)
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on the terminals of the module.

This way we obtain a dynamic model of the interaction of the module with its environment.

## Examples

## ELECTRICAL MODULES

| Module type | Parametrization | Parameter value |
| :--- | :--- | :--- |
| 2-terminal | resistance <br> Ohmic resistor | $R$ in ohms |
| 2- terminal |  |  |
| Ohmic resistor | conductance <br> $\pi: \mathbb{R}_{+} \rightarrow \cdots$ | $G$ in mhos |
| 2- terminal current | all maps: <br> driven resistor | capacitance <br> $\pi: \mathbb{R}_{+} \rightarrow \cdots$ |
| capacitor | inductance <br> $\pi: \mathbb{R}_{+} \rightarrow \cdots$ | $L$ in farads |
| inductor |  |  |

## Examples

| Module type | Parametrization domain | Parameter value |
| :---: | :---: | :---: |
| Iinear impedances | $\mathbb{N}$ (number of ports) $\times \mathbb{R}^{\mathrm{n} \times \mathrm{n}}(\xi)$ | $Z \in \mathbb{R}^{\mathrm{n} \times \mathrm{n}}[\boldsymbol{\xi}]$ |
| resistive $\triangle$ | $\mathbb{R}$ | $R$ in ohms |
| Y with linear diff. systems | $\left(\mathbb{R}^{2}[\xi]\right)^{3}$ | $\begin{aligned} & \left(R_{1}, R_{2}, R_{3}\right) \\ & \quad \in \mathbb{R}^{\mathbf{1} \times \mathbf{2}}[\xi] \end{aligned}$ |
| transformer | $\mathbb{R}$ | $\boldsymbol{n} \in \mathbb{R}$ |
| transmission line | $\left(\mathbb{R}_{+}\right)^{5}$ | $L, \ell, c, r_{s}, r_{p}$ |
| transistor |  |  |
| etc. | etc. | etc. |

## Examples

## MECHANICAL MODULES

| Module type | Parametrization | Parameters |
| :--- | :--- | :--- |
| mass | $\pi: \mathbb{R}_{+} \rightarrow \cdots$ | $\boldsymbol{m}$ in kg |
| solid bar | length, mass/unit length <br> $\pi: \mathbb{R}_{+} \times \mathbb{R}_{+} \rightarrow \cdots$ | $\boldsymbol{L}, \boldsymbol{m}$ |
| spring |  | geometry |
| damper |  |  |
| multi-terminal mass |  | etc. |
| flexible bar |  | etc. |

## Examples

## OTHER DOMAINS

| Module type | Parametrization | Parameters |
| :---: | :---: | :---: |
| servo joint |  | $m_{r}, m_{s}, J_{r}, J_{s}$, |
|  |  | $L, R, K$ |
| 2 inlet tank |  | geometry |
| etc. | etc. | etc. |

## Examples

## LINEAR SYSTEMS

| Module type | Parametrization | Parameters |
| :---: | :---: | :---: |
| $\Sigma \in \mathfrak{L}^{\bullet}$ | $\begin{aligned} & \mathbb{N} \times\{\text { ker }, \text { im, etc. }\} \\ & \times \mathbb{R}^{\bullet} \times \bullet[\xi], \text { or } \cdots \end{aligned}$ | $\left(\mathrm{w}, \operatorname{ker}, \boldsymbol{R} \in \mathbb{R}^{\bullet \times \mathrm{w}}[\xi]\right)$ |
| $\Sigma \in \mathfrak{L}_{\text {cont }}^{\bullet}$ | $\mathbb{N} \times\{\mathrm{im}, \ldots\}$ | $\left(\mathrm{w}, M \in \mathbb{R}^{w \times} \times[\xi]\right)$ |
| $\Sigma \in \mathfrak{L}_{\text {cont }}^{\text {i/o }}$ | $\begin{aligned} & \mathbb{N} \times \mathbb{N} \times\{\text { tf. f'n. }, \\ & \ldots\} \times \mathbb{R}^{\bullet} \times \bullet(\xi), \ldots \end{aligned}$ | $\mathrm{m}, \mathrm{p}, \boldsymbol{G} \in \mathbb{R}^{\mathrm{p} \times \mathrm{m}}[\boldsymbol{\xi}]$ $\ldots$ |
| $\Sigma \in \mathfrak{L}^{\mathbf{i} / \mathbf{s} / \mathbf{0}}$ | $\mathbb{N}^{3}, \ldots$ | m, n, p, (A, B, C, D) |
| etc. | etc. | etc. |

## INTERONNECTION ARCHITECTURE

Let

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The interconnection architecture is a set of terminal pairs (unordered, disjoint, and with distinct elements), denoted by $\mathbb{I}$.

If $\left\{t_{\mathrm{i}}, t_{\mathrm{j}}\right\} \in \mathbb{I}$, then we say that these terminals are connected.


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We impose that connected terminals must be adapted.

In the case of physical terminals, this means that they must be of the same type (both electrical, 2-D mechanical, thermal, etc.).

In the case of logical terminals (input or output terminals), this means that if one of the connected terminals is an m-dimensional input terminal, the other must be an m-dimensional output terminal.

## Interconnection constraints

Pairing of adapted terminals imposes an interconnection law.

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Example: pairing 2 electrical terminals

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$$

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| Pair of <br> terminals | Terminal <br> 1 | Terminal <br> 2 | Interconnection law |
| :--- | :--- | :--- | :--- |
| electrical | $\left(V_{1}, I_{1}\right)$ | $\left(V_{2}, I_{2}\right)$ | $V_{1}=V_{2}, I_{1}+I_{2}=0$ |
| 1-D mech. | $\left(F_{1}, q_{1}\right)$ | $\left(F_{2}, q_{2}\right)$ | $F_{1}+F_{2}=0, q_{1}=q_{2}$ |
| 2-D mech. |  |  |  |
| thermal | $\left(Q_{1}, T_{1}\right)$ | $\left(Q_{2}, T_{2}\right)$ | $Q_{1}+Q_{2}=0, T_{1}=T_{2}$ |
| fluidic | $\left(p_{1}, f_{1}\right)$ | $\left(p_{2}, f_{2}\right)$ | $p_{1}=p_{2}, f_{1}+f_{2}=0$ |
| info <br> processing | m-input $u$ | m-output $y$ | $u=y$ |
| etc. | etc. | etc. | etc. |

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The model unavoidably contains many other variables. These latent variables could be
either
interconnection variables,
or
latent variables used to describe the behavior of the modules.

## MODEL GENERATION

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- A set of modules $M_{1}, M_{2}, \cdots, M_{m}$
so, for each module,
the type, the parametrization, and parameter value.
This yields a list of terminals $T=\left\{t_{1}, t_{2}, \ldots, t_{|T|}\right\}$ and the behavior $\mathfrak{B}_{i}, i=1, \ldots, m$, for the terminal variables.

Denote $\quad \mathfrak{B}^{\prime}=\mathfrak{B}_{1} \times \cdots \times \mathfrak{B}_{\mathrm{m}}$.

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- A set of modules $M_{1}, M_{2}, \cdots, M_{\mathrm{m}}$ Denote $\quad \mathfrak{B}^{\prime}=\mathfrak{B}_{1} \times \cdots \times \mathfrak{B}_{\mathrm{m}}$.
- Interconnection architecture $\mathbb{I}$ on $T=\left\{t_{1}, t_{2}, \ldots, t_{|T|}\right\}$
$\sim$ interconnection laws, and a behavior $\mathfrak{B}^{\prime \prime}$ for the terminal variables


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$\sim$ interconnection laws, and a behavior $\mathfrak{B}^{\prime \prime}$ for the terminal variables
- The manifest variable assignment.


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- The manifest variable assignment.
- The yields $\mathfrak{B}^{\prime} \cap \mathfrak{B}^{\prime \prime}=$ the full behavior contains both latent variables and manifest variables.
- Elimination of latent variables $\rightarrow$ the manifest behavior $\mathfrak{B}$.


## Examples

## RLC circuit



## RLC circuit

## TEARING





## RLC circuit

## ZOOMING

The list of the modules \& the associated terminals:

| Module | Type | Terminals | Parameter |
| :---: | :---: | :---: | :---: |
| $\boldsymbol{R}_{\boldsymbol{C}}$ | resistor | $(1,2)$ | $\boldsymbol{R}$ in ohms |
| $\boldsymbol{R}_{\boldsymbol{L}}$ | resistor | $(3,4)$ | $\boldsymbol{R}$ in ohms |
| $\boldsymbol{C}$ | capacitor | $(5,6)$ | $C$ in farad |
| $\boldsymbol{L}$ | inductor | $(7,8)$ | $L$ in henry |
| connector1 | 3-terminal connector | $(9,10,11)$ |  |
| connector2 | 3-terminal connector | $(12,13,14)$ |  |

The interconnection architecture:

| Pairing |
| :---: |
| $\{10,1\}$ |
| $\{11,7\}$ |
| $\{2,5\}$ |
| $\{8,3\}$ |
| $\{6,13\}$ |
| $\{4,14\}$ |

## RLC circuit

Manifest variable assignment:
the variables

$$
V_{9}, I_{9}, V_{12}, I_{12}
$$

on the external terminals $\{9,12\}$, i.e,

$$
V_{a}=V_{9}, I_{a}=I_{9}, V_{b}=V_{12}, I_{b}=I_{12}
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V_{a}=V_{9}, I_{a}=I_{9}, V_{b}=V_{12}, I_{b}=I_{12}
$$

The internal terminals are

$$
\{1,2,3,4,5,6,7,8,10,11,13,14\}
$$

The variables on these terminals are latent variables.

## RLC circuit

Equations for the full behavior:

| Modules | Constitutive equations |  |
| :---: | :---: | :---: |
| $R_{C}$ | $I_{1}+I_{2}=0$ | $V_{1}-V_{2}=R_{C} I_{1}$ |
| $R_{L}$ | $I_{7}+I_{8}=0$ | $V_{7}-V_{8}=R_{L} I_{7}$ |
| $C$ | $I_{5}+I_{6}=0$ | $C \frac{d}{d t}\left(V_{5}-V_{6}\right)=I_{5}$ |
| $L$ | $I_{7}+I_{8}=0$ | $V_{7}-V_{8}=L \frac{d}{d t} I_{7}$ |
| connector1 | $I_{9}+I_{10}+I_{11}=0$ | $V_{9}=V_{10}=V_{11}$ |
| connector2 | $I_{12}+I_{13}+I_{14}=0$ | $V_{12}=V_{13}=V_{14}$ |

## RLC circuit

| Interconnection pair | Interconnection equations |  |
| :---: | :---: | :---: |
| $\{10,1\}$ | $V_{10}=V_{1}$ | $I_{10}+I_{1}=0$ |
| $\{11,7\}$ | $V_{11}=V_{7}$ | $I_{11}+I_{7}=0$ |
| $\{2,5\}$ | $V_{2}=V_{5}$ | $I_{2}+I_{5}=0$ |
| $\{8,3\}$ | $V_{8}=V_{3}$ | $I_{8}+I_{3}=0$ |
| $\{6,13\}$ | $V_{6}=V_{13}$ | $I_{6}+I_{13}=0$ |
| $\{4,14\}$ | $V_{4}=V_{14}$ | $I_{4}+I_{14}=0$ |

## RLC circuit

All these eq'ns combined define a latent variable system in the manifest variables

$$
w=\left(V_{a}, I_{a}, V_{b}, I_{b}\right)
$$

with latent variables

$$
\begin{gathered}
\ell=\left(V_{1}, I_{1}, V_{2}, I_{2}, V_{3}, I_{3}, V_{4}, I_{4}, V_{5}, I_{5}, V_{6}, I_{6}, V_{7}, I_{7}\right. \\
\left.V_{8}, I_{8}, V_{10}, I_{10}, V_{11}, I_{11}, V_{13}, I_{13}, V_{14}, I_{14}\right)
\end{gathered}
$$

## RLC circuit

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\left.V_{8}, I_{8}, V_{10}, I_{10}, V_{11}, I_{11}, V_{13}, I_{13}, V_{14}, I_{14}\right)
\end{gathered}
$$

The manifest behavior $\mathfrak{B}$ is given by

$$
\mathfrak{B}=\left\{\left(V_{a}, I_{a}, V_{b}, I_{b}\right): \mathbb{R} \rightarrow \mathbb{R}^{4} \mid \exists \ell: \mathbb{R} \rightarrow \mathbb{R}^{24} \ldots\right\}
$$

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Elimination: for example, using Gröbner bases.

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Case 1: $\quad C R_{C} \neq \frac{L}{R_{L}}$.

$$
\begin{gathered}
\left(\frac{R_{C}}{R_{L}}+\left(1+\frac{R_{C}}{R_{L}}\right) C R_{C} \frac{d}{d t}+C R_{C} \frac{L}{R_{L}} \frac{d^{2}}{d t^{2}}\right)\left(V_{a}-V_{b}\right) \\
=\left(1+C R_{C} \frac{d}{d t}\right)\left(1+\frac{L}{R_{L}} \frac{d}{d t}\right) R_{C} I_{a} . \\
I_{a}+I_{b}=0
\end{gathered}
$$

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\begin{gathered}
\left(\frac{R_{C}}{R_{L}}+\left(1+\frac{R_{C}}{R_{L}}\right) C R_{C} \frac{d}{d t}+C R_{C} \frac{L}{R_{L}} \frac{d^{2}}{d t^{2}}\right)\left(V_{a}-V_{b}\right) \\
=\left(1+C R_{C} \frac{d}{d t}\right)\left(1+\frac{L}{R_{L}} \frac{d}{d t}\right) R_{C} I_{a} \\
I_{a}+I_{b}=0
\end{gathered}
$$

Case 2: $\quad C R_{C}=\frac{L}{R_{L}}$.

$$
\begin{gathered}
\left(\frac{R_{C}}{R_{L}}+C R_{C} \frac{d}{d t}\right)\left(V_{a}-V_{b}=\left(1+C R_{C} \frac{d}{d t}\right) R_{C} I_{a}\right. \\
I_{a}+I_{b}=0
\end{gathered}
$$

## CART

force, position, torque, angle


## CART

force, position, torque, angle


Required modules: Solid bars, cart, servo's.


## Solid bar

Terminals: 2 mechanical 2-D terminals.
Parameters:
$L \in \mathbb{R}_{+}$(length), $\quad \boldsymbol{m} \in \mathbb{R}_{+}$(mass per unit length).

## Behavioral equations:

$$
\begin{aligned}
& m L \frac{d^{2}}{d t^{2}} x_{c}=F_{x_{1}}+F_{x_{2}}, \\
& m L \frac{d^{2}}{d t^{2}} y_{c}=F_{y_{1}}+F_{y_{2}}-m L g, \\
& m \frac{L^{3}}{12} \frac{d^{2}}{d t^{2}} \theta_{c}=T_{1}+T_{2}-\frac{L}{2} F_{x_{1}} \sin \left(\theta_{1}\right) \\
& \quad \quad+\frac{L}{2} F_{y_{1}} \cos \left(\theta_{1}\right)-\frac{L}{2} F_{x_{2}} \sin \left(\theta_{2}\right)+\frac{L}{2} F_{y_{2}} \cos \left(\theta_{2}\right), \\
& \theta_{1}=\theta_{c}, \theta_{2}=\theta_{1}+\pi, \\
& x_{1}=x_{c}+\frac{L}{2} \cos \left(\theta_{c}\right), x_{2}=x_{c}-\frac{L}{2} \cos \left(\theta_{c}\right), \\
& y_{1}=y_{c}+\frac{L}{2} \sin \left(\theta_{c}\right), y_{2}=y_{c}-\frac{L}{2} \sin \left(\theta_{c}\right)
\end{aligned}
$$

Note: Contains latent variables $x_{c}, \theta_{c}$.


Hinge with servo

Terminals: 2 mechanical 2-D terminals, 2 electrical.

Parameters:
rotor mass $m_{r}$, the stator mass $m_{s}$, the rotor inertia $J_{r}$, the stator inertia $J_{s}$, the inductance $L$, the resistance $R$ of the motor circuit, the motor torque constant $K$.

## CART

Behavioral equations:

$$
\begin{aligned}
& \left(m_{r}+m_{s}\right) \frac{d^{2}}{d t^{2}} x_{1}=F_{x_{1}}+F_{x_{2}} \\
& \left(m_{r}+m_{s}\right) \frac{d^{2}}{d t^{2}} y_{1}=F_{x_{1}}+F_{x_{2}} \\
& J_{r} \frac{d^{2}}{d t^{2}} \theta_{1}=T_{1}+T_{m} \\
& J_{s} \frac{d^{2}}{d t^{2}} \theta_{2}=T_{2}-T_{m} \\
& V_{3}-V_{4}=L \frac{d}{d t} I_{3}+R I_{3}+K \frac{d}{d t}\left(\theta_{1}-\theta_{2}\right) \\
& K I_{3}=T_{m}, I_{3}=-I_{4} \\
& x_{1}=x_{2}, y_{1}=y_{2}
\end{aligned}
$$

Terminal variables: $\quad\left(\boldsymbol{x}_{1}, \boldsymbol{y}_{1}, \boldsymbol{\theta}_{1}, \boldsymbol{F}_{\boldsymbol{x}_{1}}, \boldsymbol{F}_{\boldsymbol{y}_{1}}, \boldsymbol{T}_{1}\right.$,

$$
\left.x_{2}, y_{2}, \theta_{2}, F_{x_{2}}, F_{y_{2}}, T_{2}, V_{3}, I_{4}, V_{4}, I_{4}\right)
$$

The motor torque $T_{m}$ is a latent variable.


Terminals: 1 mechanical 1-D terminal, 1 mechanical 2-D terminal.

Parameters: mass $M$.

## CART

Behavioral equations:

$$
\begin{aligned}
& M \frac{d^{2}}{d t^{2}} x_{1}=F_{1}+F_{x_{2}} \\
& x_{2}=x \\
& y_{2}=0 \\
& \theta_{2}=\pi / 2
\end{aligned}
$$

## CART

## TEARING



## CART

## ZOOMING

The list of the modules \& the associated terminals:

| Module | Type | Terminals | Parameter |
| :---: | :---: | :---: | :---: |
| Link 1 | bar | $(7,8)$ | $L_{1}, m_{1}$ |
| Link 2 | bar | $(1,2)$ | $L_{2}, m_{2}$ |
| Cart | cart | $(13,14)$ | $M$ |
| Hinge 1 | servo | $(9,10,11,12)$ | $m_{r_{1}}, m_{s_{1}}, J_{r_{1}}, J_{r_{1}}, L_{1}, R_{1}, K_{1}$ |
| Hinge 2 | servo | $(3,4,5,6)$ | $m_{r_{2}}, m_{s_{2}}, J_{r_{2}}, J_{r_{2}}, L_{2}, R_{2}, K_{2}$ |

## CART

The interconnection architecture:

| Pairing |
| :---: |
| $\{2,3\}$ |
| $\{4,7\}$ |
| $\{8,9\}$ |
| $\{10,13\}$ |

## CART

The interconnection architecture:

| Pairing |
| :---: |
| $\{2,3\}$ |
| $\{4,7\}$ |
| $\{8,9\}$ |
| $\{10,13\}$ |

Manifest variable assignment:
the variables on the external terminals $\{1,5,6,11,12,14\}$.

All other variables are latent variables.

## CART

## Equations for the full behavior:

$$
\begin{aligned}
& m_{1} L_{1} \frac{d^{2}}{d t^{2}} x_{c_{1}}=F_{x_{1}}+F_{x_{2}} \\
& m_{1} L_{1} \frac{d^{2}}{d t^{2}} y_{c_{1}}=F_{y_{1}}+F_{y_{2}}-m_{1} L_{1} g \\
& m_{1} \frac{L_{1}^{3}}{12} \frac{d^{2}}{d t^{2}} \theta_{c_{1}}=T_{1}+T_{2}- \\
& \quad \frac{L_{1}}{2} F_{x_{1}} \sin \left(\theta_{1}\right)+\frac{L_{1}}{2} F_{y_{1}} \cos \left(\theta_{1}\right)-\frac{L_{1}}{2} F_{x_{2}} \sin \left(\theta_{2}\right)+\frac{L_{1}}{2} F_{y_{2}} \cos \left(\theta_{2}\right) \\
& \theta_{1}=\theta_{c_{1}} \\
& \theta_{2}=\theta_{1}+\pi \\
& x_{1}=x_{c_{1}}+\frac{L_{1}}{2} \cos \left(\theta_{c_{1}}\right) \\
& x_{2}=x_{c_{1}}-\frac{L_{1}}{2} \cos \left(\theta_{c_{1}}\right) \\
& y_{1}=y_{c_{1}}+\frac{L_{1}}{2} \sin \left(\theta_{c_{1}}\right) \\
& y_{2}=y_{c_{1}}-\frac{L_{1}}{2} \sin \left(\theta_{c_{1}}\right)
\end{aligned}
$$

## CART

$$
\begin{aligned}
& m_{2} L_{2} \frac{d^{2}}{d t^{2}} x_{c_{2}}=F_{x_{7}}+F_{x_{8}} \\
& m_{2} L_{2} \frac{d^{2}}{d t^{2}} y_{c_{2}}=F_{y_{7}}+F_{y_{8}}-m_{2} L_{2} g \\
& m_{2} \frac{L_{2}^{3}}{12} \frac{d^{2}}{d t^{2}} \theta_{c_{2}}=T_{7}+T_{8}-\frac{L_{2}}{2} F_{x_{7}} \sin \left(\theta_{7}\right)+\frac{L_{2}}{2} F_{y_{7}} \cos \left(\theta_{7}\right) \\
& \quad-\frac{L_{2}}{2} F_{x_{8}} \sin \left(\theta_{8}\right)+\frac{L_{2}}{2} F_{y_{8}} \cos \left(\theta_{8}\right) \\
& \\
& \theta_{7}=\theta_{c_{2}} \\
& \theta_{8}= \\
& \theta_{7}+\pi \\
& x_{7}=x_{c_{2}}+\frac{L_{1}}{2} \cos \left(\theta_{c_{2}}\right) \\
& x_{8}=x_{c_{2}}-\frac{L_{1}}{2} \cos \left(\theta_{c_{2}}\right) \\
& y_{7}=y_{c_{2}}+\frac{L_{1}}{2} \sin \left(\theta_{c_{2}}\right) \\
& y_{8}=y_{c_{2}}-\frac{L_{1}}{2} \sin \left(\theta_{c_{2}}\right)
\end{aligned}
$$

## CART

$$
\begin{aligned}
& M \frac{d^{2}}{d t^{2}} x_{14}=F_{14}+F_{x_{14}} \\
& x_{14}=x_{13} \\
& y_{13}=0 \\
& \theta_{13}=\pi / 2
\end{aligned}
$$

## CART

$$
\begin{aligned}
& \left(m_{r_{1}}+m_{s_{1}}\right) \frac{d^{2}}{d t^{2}} x_{3}=F_{x_{3}}+F_{x_{4}} \\
& \left(m_{r_{1}}+m_{s_{1}}\right) \frac{d^{2}}{d t^{2}} y_{3}=F_{y_{3}}+F_{y_{4}} \\
& J_{r_{1}} \frac{d^{2}}{d t^{2}} \theta_{3}=T_{3}+T_{m} \\
& J_{s_{1}} \frac{d^{2}}{d t^{2}} \theta_{4}=T_{4}-T_{m} \\
& V_{5}-V_{6}=L_{1} \frac{d}{d t} I_{5}+R_{1} I_{5}+K \frac{d}{d t}\left(\theta_{3}-\theta_{4}\right), \\
& K_{1} I_{5}=T_{m_{1}} \\
& x_{3}=x_{4}, y_{3}=y_{4} \\
& I_{5}=-I_{6}
\end{aligned}
$$

## CART

$$
\begin{aligned}
& \left(m_{r_{2}}+m_{s_{2}}\right) \frac{d^{2}}{d t^{2}} x_{9}=F_{x_{9}}+F_{x_{10}} \\
& \left(m_{r_{2}}+m_{s_{2}}\right) \frac{d^{2}}{d t^{2}} y_{9}=F_{y_{9}}+F_{y_{10}} \\
& J_{r_{2}} \frac{d^{2}}{d t^{2}} \theta_{9}=T_{9}+T_{m} \\
& J_{s_{2}} \frac{d^{2}}{d t^{2}} \theta_{10}=T_{10}-T_{m} \\
& V_{11}-V_{12}=L_{2} \frac{d}{d t} I_{11}+R_{2} I_{11}+K \frac{d}{d t}\left(\theta_{9}-\theta_{10}\right), \\
& K_{2} I_{11}=T_{m_{2}} \\
& x_{10}=x_{11}, y_{10}=y_{11} \\
& I_{11}=-I_{12}
\end{aligned}
$$

## CART

$$
\begin{aligned}
& F_{x_{2}}+F_{x_{3}}=0, F_{y_{2}}+F_{y_{3}}=0, x_{2}=x_{3}, y_{2}=y_{3}, \\
& \theta_{2}=\theta_{3}+\pi, T_{2}+T_{3}=0, \\
& F_{x_{4}}+F_{x_{7}}=0, F_{y_{4}}+F_{y_{7}}=0, x_{4}=x_{7}, y_{4}=y_{7}, \\
& \theta_{4}=\theta_{7}+\pi, T_{4}+T_{7}=0, \\
& F_{x_{8}}+F_{x_{9}}=0, F_{y_{8}}+F_{y_{9}}=0, x_{8}=x_{9}, y_{8}=y_{9}, \\
& \theta_{8}=\theta_{9}+\pi, T_{8}+T_{9}=0, \\
& F_{x_{10}}+F_{x_{13}}=0, F_{x_{10}}+F_{x_{13}}=0, \\
& x_{10}=x_{13}, y_{10}=y_{13} . \\
& \theta_{10}=\theta_{13}+\pi, T_{10}+T_{13}=0 .
\end{aligned}
$$

## INPUT - to - OUTPUT CONNECTIONS

The inappropriateness of input - to - output connections is best illustrated by the following simple physical example:


Logical choice of inputs: the pressures $p_{11}, p_{12}, p_{21}, p_{22}$, and of the outputs $f_{11}, f_{12}, f_{21}, f_{22}$.

In any case, the choice should be 'symmetric'.

## INPUT - to - OUTPUT CONNECTIONS



Interconnection constraints:

$$
p_{12}=p_{21}, \quad f_{12}=f_{21}
$$

Equates two 'inputs’ and two ‘outputs’.

## LINEAR RLCT CIRCUITS

## BUILDING BLOCKS

Module Types:
Resistors, Capacitors, Inductors, Transformers, Connectors.

All terminals are of the same type: electrical
There are 2 variables associated with each terminal, $(V, I)$, $V$ the potential, $I$ the current (counted $>0$ when it flows into the module).
$\leadsto$ terminal signal space $\mathbb{R}^{2}$.

## LINEAR RLCT CIRCUITS

## SPECIFICATION of the BEHAVIOR of the MODULES



Resistor: 2-terminal module.
Parameter: $\boldsymbol{R}$ (resistance in ohms, say).

## Device laws:

$$
V_{1}-V_{2}=R I_{1} ; \quad I_{1}+I_{2}=0
$$

## LINEAR RLCT CIRCUITS



Capacitor: 2-terminal module.
Parameter: $C$ (capacitance in farads, say). Device laws:

$$
C \frac{d}{d t}\left(V_{1}-V_{2}\right)=I_{1} ; \quad I_{1}+I_{2}=0
$$

## LINEAR RLCT CIRCUITS



Inductor: 2-terminal module.
Parameter: $L$ (inductance in henrys, say). Device laws:

$$
L \frac{d}{d t} I_{1}=V_{1}-V_{2} ; \quad I_{1}+I_{2}=0
$$

## LINEAR RLCT CIRCUITS



Transformer: 4-terminal module; terminals (1,2): primary; terminals $(3,4)$ : secondary. Parameter: $N$ (the turns ratio, $\in(0, \infty)$ ). Device laws:

$$
\begin{aligned}
\hline V_{3}-V_{4}=N\left(V_{1}-V_{2}\right) ; & I_{1}=-N I_{3} \\
I_{1}+I_{2}=0 ; & I_{3}+I_{4}=0
\end{aligned}
$$

## LINEAR RLCT CIRCUITS


n
Connector: many-terminal module.
Parameter: n (number of terminals, an integer). Device laws:

$$
V_{1}=V_{2}=\cdots=V_{\mathrm{n}} ; \quad I_{1}+I_{2}+\cdots+I_{\mathrm{n}}=0
$$

## LINEAR RLCT CIRCUITS

## MODULES and TERMINAL ASSIGNMENT

Modules
Resistors $\quad r_{1}, r_{2}, \ldots, r_{\mathrm{n}_{r}}, \quad$ parameters $R_{1}, R_{2}, \ldots, \boldsymbol{R}_{\mathrm{n}_{r}}$;
Capacitors $\quad c_{1}, c_{2}, \ldots, c_{\mathrm{n}_{c}}, \quad$ parameters $C_{1}, C_{2}, \ldots, C_{\mathrm{n}_{c}}$; Inductors $\quad \ell_{1}, \ell_{2}, \ldots, \ell_{\mathrm{n}_{\ell}}, \quad$ parameters $L_{1}, L_{2}, \ldots, L_{\mathrm{n}_{\ell}}$;
Transformers $T_{1}, T_{2}, \ldots, T_{\mathrm{n}_{T}}$, parameters $N_{1}, N_{2}, \ldots, N_{\mathrm{n}_{T}}$;
Connectors $\quad \mathrm{k}_{1}, \mathrm{k}_{2}, \ldots, \mathrm{k}_{\mathrm{n}_{\mathrm{k}}}, \quad$ parameters $\mathrm{n}_{1}, \mathrm{n}_{2}, \ldots, \mathrm{n}_{\mathrm{n}_{\mathrm{k}}}$.
This yields the set of terminals
$\mathbb{T}=\left\{1,2, \ldots, 2\left(\mathrm{n}_{r}+\mathrm{n}_{c}+\mathrm{n}_{\ell}\right)+4 \mathrm{n}_{T}+\mathrm{n}_{1}+\mathrm{n}_{2}+\ldots+\mathrm{n}_{\mathrm{n}_{\mathrm{k}}}\right\}$.

## LINEAR RLCT CIRCUITS

## INTERCONNECTION ARCHITECTURE

Interconnection architecture :
$\mathbb{I}=$ a set of disjoint (unordered) pairs of different elements (i.e., doubletons) from $\mathbb{T}$.

## LINEAR RLCT CIRCUITS

## MANIFEST VARIABLE ASSIGNMENT

External terminals $=\mathbb{E}:=\mathbb{T}-\cup_{\mathbb{I}}\{a, b\}$.
Manifest variables $=$ external terminal voltages and currents
$=\Pi_{\mathrm{k} \in \mathbb{I}}\left(\boldsymbol{V}_{\mathrm{k}}, \boldsymbol{I}_{\mathrm{k}}\right)$. Denote the manifest variables by
$\Pi_{\mathrm{k} \in \mathbb{I}}\left(\boldsymbol{V}_{\mathrm{k}}, \boldsymbol{I}_{\mathrm{k}}\right)$ as $(\boldsymbol{V}, \boldsymbol{I}) \in \mathbb{R}^{2 \mathbb{E}}$.
Manifest behavior: $\subseteq\left(\mathbb{R}^{2 \mathbb{E}}\right)^{\mathbb{R}}$.
Denote further the full behavior (the behavior of all the terminal voltages and currents) by $\quad \mathfrak{B}_{\mathbb{T}} \subseteq\left(\mathbb{R}^{\mathbf{2} \mathbb{T}}\right)^{\mathbb{T}}$.

## LINEAR RLCT CIRCUITS

## FULL BEHAVIORAL EQUATIONS

## 1. Module Laws:

1.1 Resistors: for each resistor $r_{n}$, terminals $\left(t_{1}^{r_{\mathrm{n}}}, t_{2}^{r_{\mathrm{n}}}\right)$,

$$
\boldsymbol{V}_{\boldsymbol{t}_{\mathbf{1}}^{r_{\mathrm{n}}}}-\boldsymbol{V}_{\boldsymbol{t}_{\mathbf{2}}^{r_{\mathrm{n}}}}=\boldsymbol{R}_{\mathrm{n}} \boldsymbol{I}_{\boldsymbol{t}_{\mathbf{1}}}^{r_{\mathrm{n}}} ; \quad \boldsymbol{I}_{\boldsymbol{t}_{\mathbf{1}}^{r_{\mathrm{n}}}+\boldsymbol{I}_{\mathbf{2}}^{r_{\mathrm{n}}}=\mathbf{0} . .}
$$

1.2 Capacitors: for each capacitor $c_{\mathrm{n}}$, terminals $\left(t_{1}^{c_{\mathrm{n}}}, t_{2}^{c_{\mathrm{n}}}\right)$,

$$
\frac{d}{d t} C_{\mathrm{n}}\left(V_{t_{1}^{c_{\mathrm{n}}}}-V_{t_{2}^{c_{\mathrm{n}}}}\right)=\boldsymbol{I}_{t_{1}}^{c_{\mathrm{n}}} ; \quad \boldsymbol{I}_{t_{1}}^{c_{\mathrm{n}}}+\boldsymbol{I}_{t_{2}}^{c_{\mathrm{n}}}=\mathbf{0}
$$

1.3 Inductors: for each inductor $\ell_{\mathrm{n}}$, terminals $\left(t_{1}^{\ell_{n}}, t_{2}^{\ell_{n}}\right)$,

$$
\frac{d}{d t} L_{\mathrm{n}} I_{t_{1}^{\ell_{\mathrm{n}}}}-V_{t_{2}^{\ell_{\mathrm{n}}}} ; \quad \boldsymbol{I}_{t_{1}^{\ell_{\mathrm{n}}}}+I_{t_{2}^{\ell_{\mathrm{n}}}}=\mathbf{0}
$$

1.4 Transformers: for each transformer $T_{\mathrm{n}}$, terminals $\left(t_{1}^{T_{\mathrm{n}}}, t_{2}^{T_{\mathrm{n}}}, t_{3}^{T_{\mathrm{n}}}, t_{4}^{T_{\mathrm{n}}}\right)$,

$$
\begin{aligned}
V_{t_{1} T_{\mathrm{n}}}-V_{t_{2}^{T_{\mathrm{n}}}}=N_{\mathrm{n}}\left(V_{t_{3}^{T_{\mathrm{n}}}}-V_{t_{4}^{T_{\mathrm{n}}}}\right) ; & I_{t_{3}^{T_{\mathrm{n}}}}=-\boldsymbol{N}_{\mathrm{n}} \boldsymbol{I}_{t_{1}^{T_{\mathrm{n}}}} \\
\boldsymbol{I}_{t_{1}^{T_{\mathrm{n}}}}+\boldsymbol{I}_{t_{2}^{T_{\mathrm{n}}}}=0 ; & \boldsymbol{I}_{t_{3}^{T_{\mathrm{n}}}}+\boldsymbol{I}_{\boldsymbol{t}_{4}^{T_{\mathrm{n}}}}=\mathbf{0}
\end{aligned}
$$

1.5 Connectors: for each connector $\mathrm{k}_{\mathrm{n}}$, terminals $\left(t_{1}^{\mathrm{k}_{\mathrm{n}}}, \ldots, t_{\mathrm{n}_{\mathrm{k}_{\mathrm{n}}}}^{\mathrm{k}_{\mathrm{n}}}\right)$,

$$
\boldsymbol{V}_{\boldsymbol{t}_{1}^{k_{\mathrm{n}}}}=\cdots=\boldsymbol{V}_{\boldsymbol{t}_{\mathrm{n}_{\mathrm{k}_{\mathrm{n}}}}^{\mathrm{k}_{\mathrm{n}}}} ; \quad \boldsymbol{I}_{\boldsymbol{t}_{1}^{\mathrm{k}_{\mathrm{n}}}}+\cdots+\boldsymbol{I}_{\boldsymbol{t}_{\mathrm{n}_{\mathrm{k}_{\mathrm{n}}}}^{\mathrm{k}_{\mathrm{n}}}}
$$

## LINEAR RLCT CIRCUITS

2. Interconnection Laws:

For each 'connected' terminal pair $\{a, b\} \in \mathbb{I}$ :

$$
V_{a}=V_{b} ; \quad I_{a}+I_{b}=0
$$

Solution of behavioral equations $\sim \mathfrak{B}_{\mathbb{T}}$.
After elimination of internal variables $\sim \mathfrak{B}_{\mathbb{E}}$.

## LINEAR RLCT CIRCUITS

## PROPERTIES of $\mathfrak{B}_{\mathbb{E}}$

When is $\quad \mathfrak{B}_{\mathbb{E}} \subseteq\left(\mathbb{R}^{2 \mathbb{E}}\right)^{\mathbb{R}}$
the external terminal behavior of a circuit containing a finite number of positive $R$ 's, $L$ 's, $C^{\prime}$ c, $T$ 's, and connectors?

It is possible to derive necessary \& sufficient conditions!

## LINEAR RLCT CIRCUITS

1. $\quad \mathfrak{B}_{\mathbb{E}} \in \mathfrak{L}^{2 \mathbb{E}}$.
2. KVL:
$\left((V, I) \in \mathfrak{B}_{\mathbb{E}}\right)$ and $\left.\left(\alpha \in \mathfrak{C}^{\infty}(\mathbb{R}, \mathbb{R})\right)\right) \Rightarrow((V+\alpha e) \in$ $\left.\mathfrak{B}_{\mathbb{E}}\right)$
with

$$
e=\left[\begin{array}{c}
1 \\
1 \\
\vdots \\
\vdots
\end{array}\right]
$$

3. KCL:

$$
\left((V, I) \in \mathfrak{B}_{\mathbb{E}}\right) \Rightarrow\left(e^{\top} \boldsymbol{I}=0\right)
$$

## LINEAR RLCT CIRCUITS

4. Input cardinality: $\quad m\left(\mathfrak{B}_{\mathbb{E}}\right)=\mathbb{E}$
5. Hybridicity:

There exists an input/output choice such that the input variables $\left(u_{1}, u_{2}, \ldots, u_{\mathbb{E}}\right)$ and output variables $\left(y_{1}, y_{2}, \cdots, y_{\mathbb{E}}\right)$ pair as follows:
$\left\{\boldsymbol{u}_{\mathrm{i}}, \boldsymbol{y}_{\mathrm{i}}\right\}=\left\{\boldsymbol{V}_{\mathrm{i}}, \boldsymbol{I}_{\mathrm{i}}\right\}$
Each terminal is either current controlled or voltage controlled.

## LINEAR RLCT CIRCUITS

6. Passivity:

Assume for simplicity $\mathfrak{B}_{\mathbb{E}} \in \mathbb{L}_{\text {controllable }}^{2 \mathbb{E}}$. There holds

$$
\int_{0}^{+\infty} V^{\top}(t) I(t) d t \geq 0
$$

for all $(V, I) \in \mathfrak{B}_{\mathbb{E}}$ of compact support.

This states that the net electrical energy flows into the circuit.

## LINEAR RLCT CIRCUITS

7. Reciprocity:

Assume again for simplicity $\mathfrak{B}_{\mathbb{E}} \in \mathbb{L}_{\text {controllable }}^{2 \mathbb{E}}$. There holds

$$
\int_{-\infty}^{+\infty} V_{1}^{\top}(t) I_{2}(-t) d t=\int_{-\infty}^{+\infty} I_{1}^{\top}(t) V_{2}(-t) d t
$$

for all $\left(V_{1}, I_{1}\right),\left(V_{2}, I_{2}\right) \in \mathfrak{B}_{\mathbb{E}}$ of compact support.
Equivalently: $\mathfrak{B}_{\mathbb{E}}=\operatorname{rev}\left(\mathfrak{B}_{\mathbb{E}}^{\perp_{\Sigma}}\right)$,
where rev denotes time-reversal, and $\Sigma=\left[\begin{array}{cc}O & I \\ -I & O\end{array}\right]$.
This curious properties may be translated into:
The influence of terminal $i$ on terminal $j$ is equal to the influence of terminal $j$ on terminal $i$.

## LINEAR RLCT CIRCUITS

Proof of necessity:
Show that the modules satisfy properties (1) to (7).
Show that these properties remain valid after one interconnection. The difficult part here is (4).

Proof of necessity:
'Synthesis'.

## TERMINALS or PORTS?

Note that (for instance for electrical circuits) we have used the terminal description. It is simply more appropriate and more general than the port description (even when using only 'port' devices).

The port description is not 'closed under interconnection'.

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Example:


## TERMINALS or PORTS?

Note that (for instance for electrical circuits) we have used the terminal description. It is simply more appropriate and more general than the port description (even when using only 'port' devices).

The port description is not 'closed under interconnection'.

However, port descriptions are more parsimomious in the choice of variables (it halves their number). It is important to incorporate this parsimony.

## RECAPITULATION

- Modelling interconnected systems $\cong$ Interplay of


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- terminals and their type
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- the interconnection architecture
- interconnection laws
- manifest variable assignment
- Adapted to computer assisted modelling
- Hierarchical, reusable, extendable
- Many latent variables, many equations (many static relations, i.e., algebraic equations). Far distance from i/o, i/s/o, tf. f'ns.
- Importance of elimination algorithms


## CONCLUSION

* for physical systems ( $\Rightarrow \Leftarrow$ signal processors) $*$


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- External variables are basic, but what 'drives' what , is not.


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* for physical systems $(\Rightarrow \Leftarrow$ signal processors) $*$
- External variables are basic, but what 'drives' what , is not.
- Interconnection, variable sharing, rather that input selection, is the basic mechanism by which a system interacts with its environment.


## BONDGRAPHS

Views interconnected systems indeed in terms of ports, modules, and interconnections.

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It is assumed that for each of the terminals the interconnection variables come in pairs:
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## Examples:

- Electrical ports: effort: voltage, flow: current
- Mechanical ports: effort: force, flow: velocity
- Thermal ports: effort: $T$, flow: $Q / T$
- etc. etc.


## BONDGRAPHS

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- certainly superior to SIMULINK ${ }^{\text {© }}$


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- terminal variable structure seems limited to linearity
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- notation very awkward, mathematical notions primitive
- terminal variable structure seems limited to linearity
- some interconnections fail their assumptions: mechanical terminals equate positions, NOT velocities
- effort/flow, while apparently deep, remains unexplored
- interconnections happen via terminals, not ports.
- there is more structure to interconnection variables than effort/flow.


## CONTROL in a BEHAVIORAL SETTING

## FEEDBACK CONTROL

The usual paradigm for control:

'Intelligent' Control

## BEHAVIORAL CONTROL



Control as interconnection

## BEHAVIORAL CONTROL



## Before interconnection

## BEHAVIORAL CONTROL



Before interconnection


After interconnection

Control = designing a subsystem

## Feedback control as an example



## 'Example'

Many practical control devices do not function as feedback controllers! Dampers, heat fins, pressure valves, overflows, turbulence control strips, characteristic impedances, etc. etc.

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# 'Example' 

Equation of motion of the door (the plant):


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\begin{array}{r}
M^{\prime} \frac{d^{2} \theta}{d t^{2}}=F_{c}+F_{e} \\
\theta: \text { opening angle, }
\end{array}
$$

$\boldsymbol{F}_{\boldsymbol{c}}$ force device, $\boldsymbol{F}_{\boldsymbol{e}}$ exogenous force.

Door closing mechanism (the controller):

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Controlled behavior:

$$
\left(M^{\prime}+M^{\prime \prime}\right) \frac{d^{2} \theta}{d t^{2}}+D \frac{d \theta}{d t}+K \theta=F_{e}
$$

Specs: small overshoot, fast settling, not-to-high gain from $\boldsymbol{F}_{\boldsymbol{e}} \mapsto \boldsymbol{\theta}$. Controller $\sim M^{\prime}, K$ and $D$.
Note: Plant: second order; Controller: second order; Controlled plant: second (not fourth) order.
Note: PDD controller, but no noise problems

## MATHEMATIZATION

Domain of the to-be-controlled variables: $\mathbb{W}$
Domain of the control variables: $\mathbb{C}$
Typically: families of time-signals

## MATHEMATIZATION

Full plant behavior:

$$
\mathcal{P}_{\text {full }}=\{(w, c) \in \mathbb{W} \times \mathbb{C} \mid \text { allowed by plant laws }\}
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Controller:

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\mathcal{K}:=\{w \in \mathbb{W} \mid \exists c \in \mathbb{C}
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such that $(w, c) \in \mathcal{P}_{\text {full }}$ and $\left.c \in \mathcal{C}\right\}$.

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Questions:

- Which $\mathcal{C}$ implements the desired controlled behavior $\mathcal{D}$ ?
- Given $\mathcal{P}_{\text {full, }}$ which $\mathcal{K} \subseteq \mathbb{W}$ are implementable?

We henceforth restrict attention to
linear time-invariant differential systems.

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linear time-invariant differential systems.
The behavior $\mathfrak{B}$ belongs to $\mathfrak{L}^{W}$

$$
: \Leftrightarrow
$$

$\exists$ a polynomial matrix $R \in \mathbb{R}^{\bullet \times w}[\xi]$ such that

$$
\mathfrak{B}=\left\{w \in \mathfrak{C}^{\infty}\left(\mathbb{R}, \mathbb{R}^{w}\right) \left\lvert\, \boldsymbol{R}\left(\frac{d}{d t}\right) w=0\right.\right\}
$$

Plant:

$$
\mathcal{P}_{\text {full }} \in \mathfrak{L}^{\mathrm{w}+\mathrm{c}}
$$

Controller:

$$
\mathcal{C} \in \mathfrak{L}^{\mathrm{c}}
$$

Controlled system:

$$
\mathcal{K}=\left\{w \in \mathfrak{C}^{\infty}\left(\mathbb{R}, \mathbb{R}^{w}\right) \mid \exists c \in \mathcal{C}:(w, c) \in \mathcal{P}_{\text {full }}\right\}
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By the 'elimination theorem'

$$
\mathcal{K} \in \mathfrak{L}^{\mathrm{W}}
$$

## IMPLEMENTABILITY

Which behaviors $\mathcal{K} \in \mathfrak{L}^{\mathrm{W}}$ can be implemented by attaching a controller $\mathcal{C} \in \mathfrak{L}^{c}$ to a given plant

$$
\mathcal{P}_{\text {full }} \in \mathfrak{L}^{\mathrm{w}+\mathrm{c}} ?
$$

## IMPLEMENTABILITY

Which behaviors $\mathcal{K} \in \mathfrak{L}^{\mathrm{W}}$ can be implemented by attaching a controller $\mathcal{C} \in \mathfrak{L}^{\mathrm{C}}$ to a given plant $\mathcal{P}_{\text {full }} \in \mathfrak{L}^{\mathrm{w}+\mathrm{c}}$ ?

This question has a very concrete and intuitive answer.
Theorem: Let $\mathcal{P}_{\text {full }} \in \mathfrak{L}^{\mathrm{w}+\mathrm{c}}$ be given.
The behavior $\mathcal{K} \in \mathfrak{L}^{\mathrm{W}}$ is implementable if and only if
$\mathcal{N} \subseteq \mathcal{K} \subseteq \mathcal{P}$

## IMPLEMENTABILITY

The behavior $\mathcal{K} \in \mathfrak{L}^{\text {w }}$ is implementable if and only if

## $\mathcal{N} \subseteq \mathcal{K} \subseteq \mathcal{P}$

where $\mathcal{N} \in \mathfrak{L}^{W}$ is the hidden behavior defined by

$$
\mathcal{N}:=\left\{w \in \mathfrak{C}^{\infty}\left(\mathbb{R}, \mathbb{R}^{w}\right) \mid(w, 0) \in \mathcal{P}_{\text {full }}\right\}
$$

and $\mathcal{P}$ is the manifest plant behavior defined by

$$
\mathcal{P}:=\left\{w \in \mathfrak{C}^{\infty}\left(\mathbb{R}, \mathbb{R}^{\mathrm{w}}\right) \mid \exists c:(w, c) \in \mathcal{P}_{\text {full }}\right\}
$$

## IMPLEMENTABILITY

$\mathcal{N} \in \mathfrak{L}^{\mathrm{w}}$, the hidden behavior


## IMPLEMENTABILITY

$\mathcal{P} \in \mathfrak{L}^{\mathrm{W}}$, the manifest plant behavior


## IMPLEMENTABILITY

The behavior $\mathcal{K} \in \mathfrak{L}^{\mathrm{W}}$ is implementable if and only if

## $\mathcal{N} \subseteq \mathcal{K} \subseteq \mathcal{P}$

This theorem reduces control to linear algebra / functional analysis: finding suitable subspaces wedged between given subspaces.

## Example:

Assume observability of the to-be-controlled variables $\boldsymbol{w}$ from the control variables $c \Leftrightarrow \mathcal{N}=\{0\}$. Assume $\mathcal{P} \neq\{0\}$, controllable. $\Rightarrow$ pole assignability $\Rightarrow$ stabilizability
e.g., $\frac{d}{d t} x=A x+B u, y=C x+D u, c=(u, y), w=x$.

## IMPLEMENTABILITY

The behavior $\mathcal{K} \in \mathfrak{L}^{\text {w }}$ is implementable if and only if

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This theorem reduces control to linear algebra / functional analysis: finding suitable subspaces wedged between given subspaces.

LQ-control and $\mathcal{H}_{\infty}$ control are very neatly worked out from this point of view/

## Regularity

The full controlled behavior $\mathcal{K}_{\text {full }} \subseteq \mathcal{P}_{\text {full }}$ is defined by

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Consider the maps $\mathrm{m}, \mathrm{p}: \mathfrak{L}^{\mathrm{w}} \rightarrow\{0,1, \ldots, \mathrm{w}\}$ with $m(\mathfrak{B})$ the number of input variables, and $p(\mathfrak{B})$ the number of output variables in $\mathfrak{B}$.

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Consider the maps $m, p: \mathfrak{L}^{\mathrm{w}} \rightarrow\{0,1, \ldots, \mathrm{w}\}$ with $m(\mathfrak{B})$ the number of input variables, and $\mathrm{p}(\boldsymbol{\mathfrak { B }})$ the number of output variables in $\mathfrak{B}$.

The controller $\mathcal{C} \in \mathfrak{L}^{\text {c }}$ is said to be regular if

$$
\mathrm{p}\left(\mathcal{K}_{\text {full }}\right)=\mathrm{p}\left(\mathcal{P}_{\text {full }}\right)+\mathrm{p}(\mathcal{C}) .
$$

## Regularity



## Regularity :=

if the controller has $p$ bound (i.e. output) variables, then the plant looses $\boldsymbol{p}$ free variables after interconnection.

## Regularity

A controller is regular if and only if it can be realized as a feedback controller with a (possibly non-proper) transfer function from an output to an input in $\mathcal{P}_{\text {full }}$ for an input/output partition of $\boldsymbol{c}$.


## Regularity


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If $\mathcal{P}$ is controllable, then every implementable controlled behavior $\mathcal{K}$ is actually regularly implementable.

## Regularity

$\Rightarrow$ A controller is regular if and only if it can be viewed as an 'intelligent controller' that processes sensor inputs outputs into actuator inputs.

> If $\mathcal{P}$ is controllable, then every implementable controlled behavior $\mathcal{K}$ is actually regularly implementable.

In feedback control, we have the additional property that the controller can be (de)coupled at any time. No state perparatiuon is required in attaching the controller.

## A LOOK BACK

What have we been trying to do, really ?

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## What have we been trying to do, really ?

Set up a 'correct' mathematical framework for discussing dynamical systems.

Usable in control, signal processing, econometrics, and, especially, incorporating in an honest way the classical models of physical systems.

## A LOOK BACK

## What have we been trying to do, really ?

Basic observations:

- Any reasonable theory takes open systems as the basic paradigm.
- Most dynamical models will be described by (differential or difference) equations, but we need a basic notion of equivalence of models.
- First principles models invariably contain auxiliary variables
- $\exists$ a complete theory for linear time-invariant systems.


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Basic observations:

- Any reasonable theory takes open systems as the basic paradigm. $\sim$ the predominance in mathematical research of closed systems is very hard to comprehend.
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- $\exists$ a complete theory for linear time-invariant systems. $~$ polyomial matrix based models, with as highlights the elimination thm., controllability, and image repr.


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Basic observations:

- The manifest variables of systems do not come as input/output pairs. On a physical terminal, many variables live simulaneously. I/O structures give the wrong suggestion. An I/O partition, if possible at all, is usually not unique, and if needed, depends on the purpose of the model.
- An input/output model is simply not a 'map'.
- The state is a construct, and so are the input and output.
- Many technologically very relevant controllers are not sensor-output-to-actuator-input signal processors.


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$~$ The classical I/O framework fails in the first and most elementary examples.
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- An input/output model is simply not a 'map'. $\sim$ This is the historical raison d'être of state models .
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- An input/output model is simply not a 'map'.
- The state is a construct, and so are the input and output. ~ Algorithmically well worked out for linear time-invariant systems.
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- The state is a construct, and so are the input and output.
- Many technologically very relevant controllers are not sensor-output-to-actuator-input signal processors.
- The behavioral approach is consistent, pedagogically attractive, pragmatic, and practical.


## Thank you

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Thank you

## End of the Lecture V

