



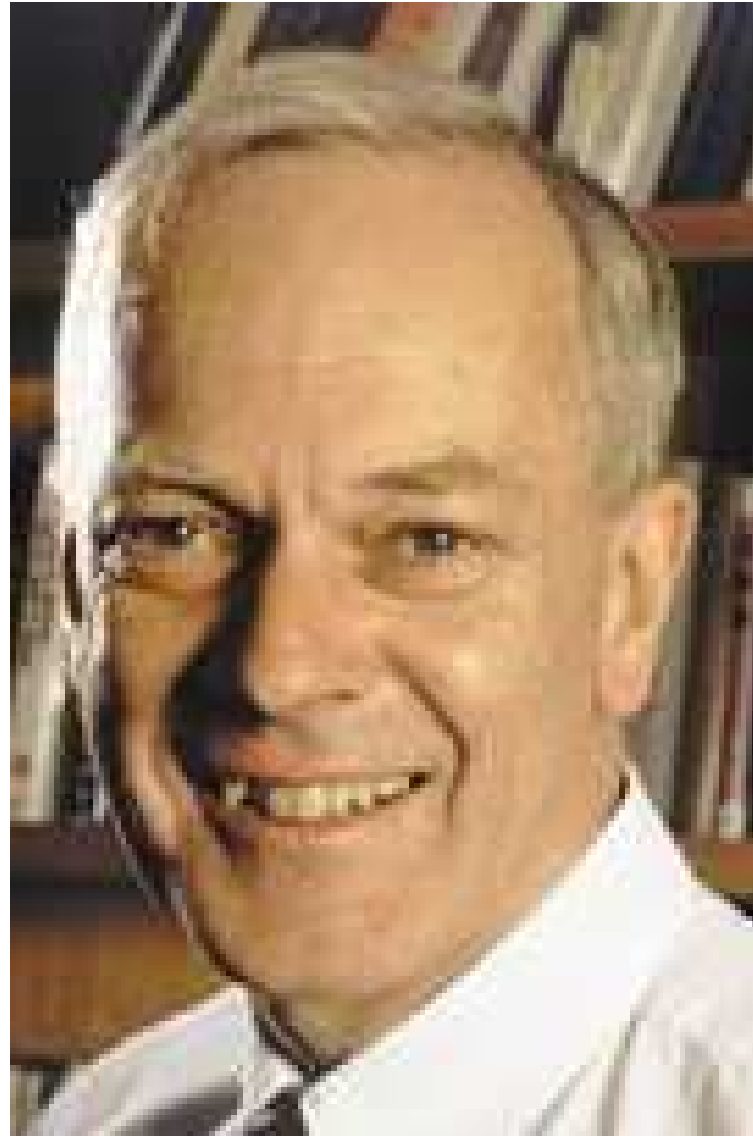
ENERGY TRANSFER

IN

ELECTRICAL CIRCUITS

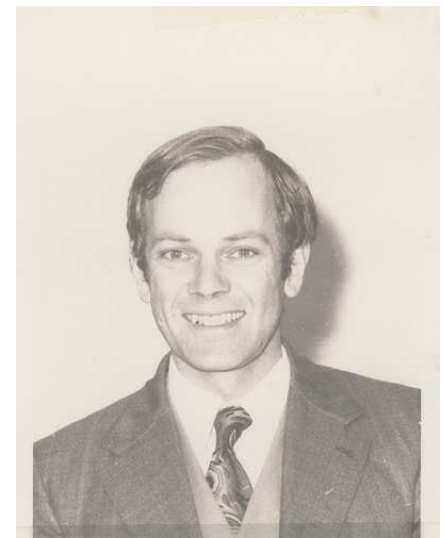
JAN C. WILLEMS

K.U. Leuven, Flanders, Belgium



**In honor of Brian Anderson
on the occasion of his seventieth birthday.**

Circuits: Brian's first love



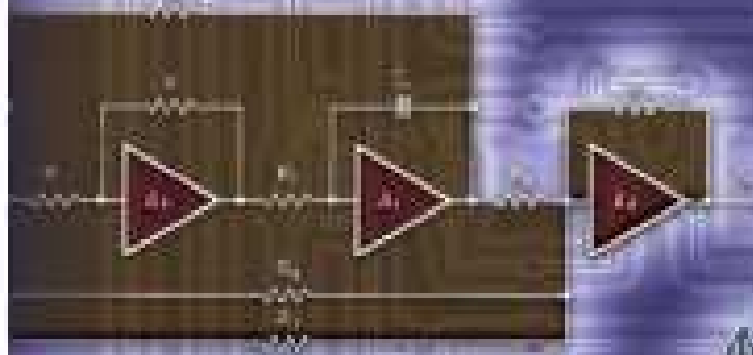
Refereed Journal Papers

1965

- ◆ B. D. O. Anderson, D. A. Spaulding, and R. W. Newcomb. Useful time-variable circuit element equivalences. *Electronics Letters*, **1** (3): 56–57, May 1965.
- ◆ B. D. O. Anderson, D. A. Spaulding, and R. W. Newcomb. The time-variable transformer. *Proc. IEEE*, **53** (6): 634–635, June 1965.
- ◆ B. D. O. Anderson and R. W. Newcomb. On relations between series and shunt-augmented networks. *Proc. IEEE*, **53** (7): 725, July 1965.
- ◆ B. D. O. Anderson. Proof of the Manley-Rowe relations from quantum considerations. *Electronics Letters*, **1** (7): 199, September 1965.
- ◆ B. D. O. Anderson and R. W. Newcomb. On reciprocity and time-variable networks. *Proc. IEEE*, **53** (10): 1674, October 1965.
- ◆ B. D. O. Anderson and R. W. Newcomb. A capacitor-transformer gyrator realization. *Proc. IEEE*, **53** (10): 1640, October 1965.

Network Analysis and Synthesis

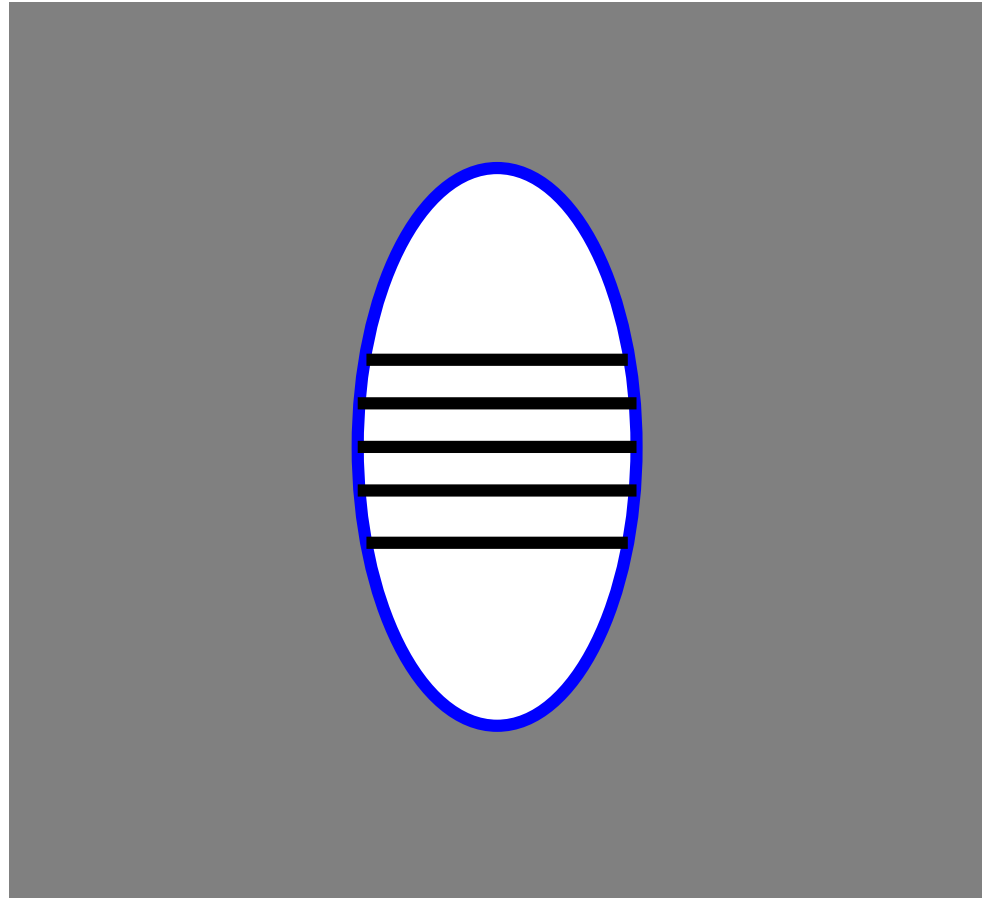
A Modern Systems Theory Approach



Brian D. O. Anderson
Sumeth Vongpanitlerd

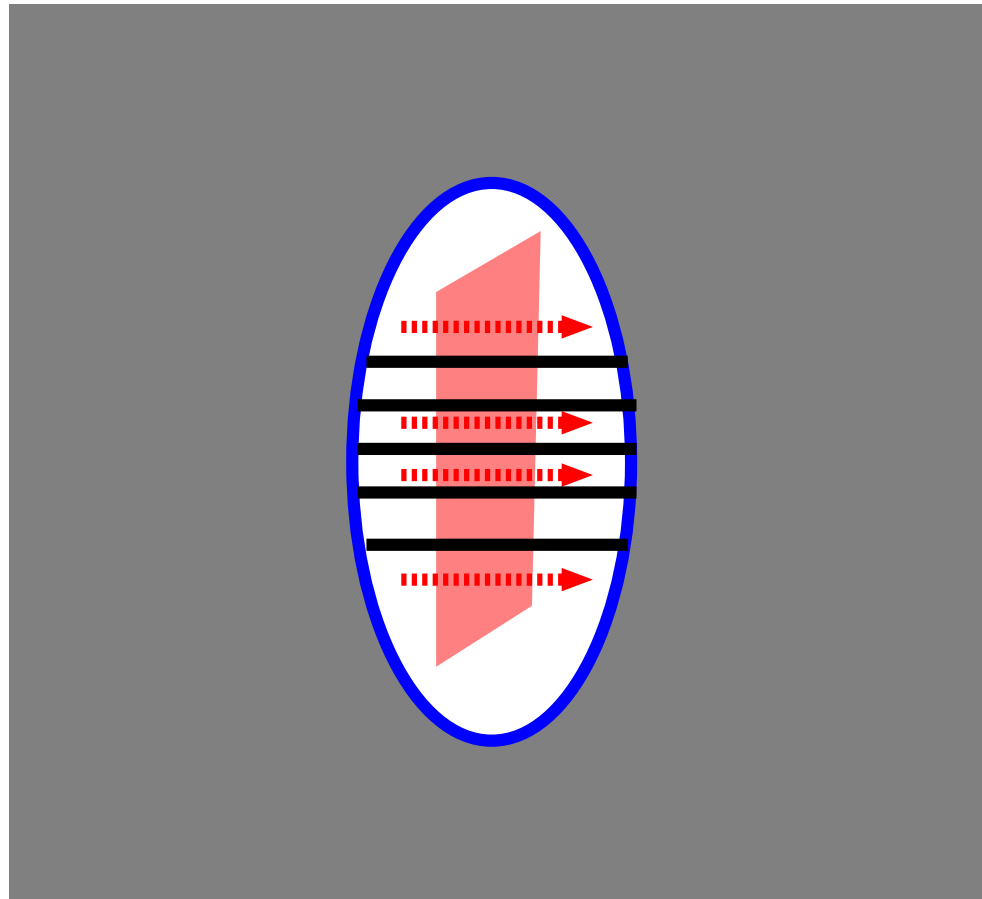
Theme

Electrical energy transfer



Observe the electrical variables on a set of wires.

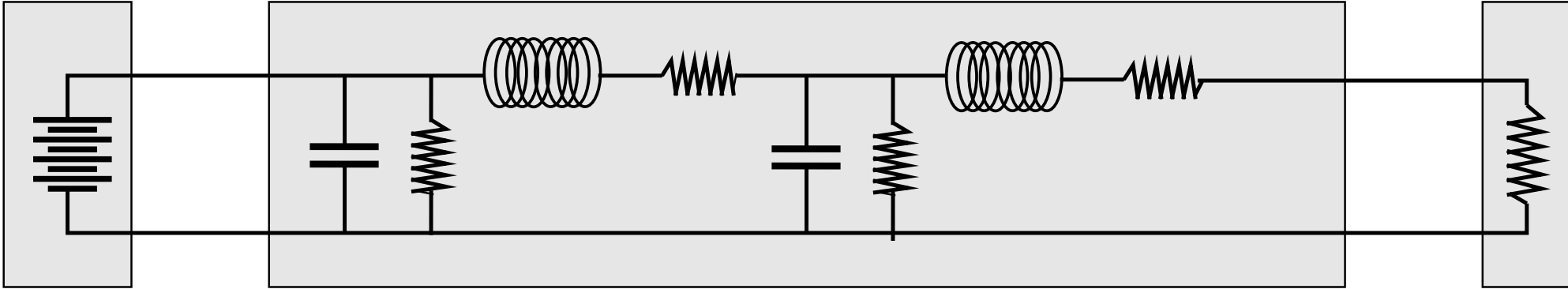
Electrical energy transfer



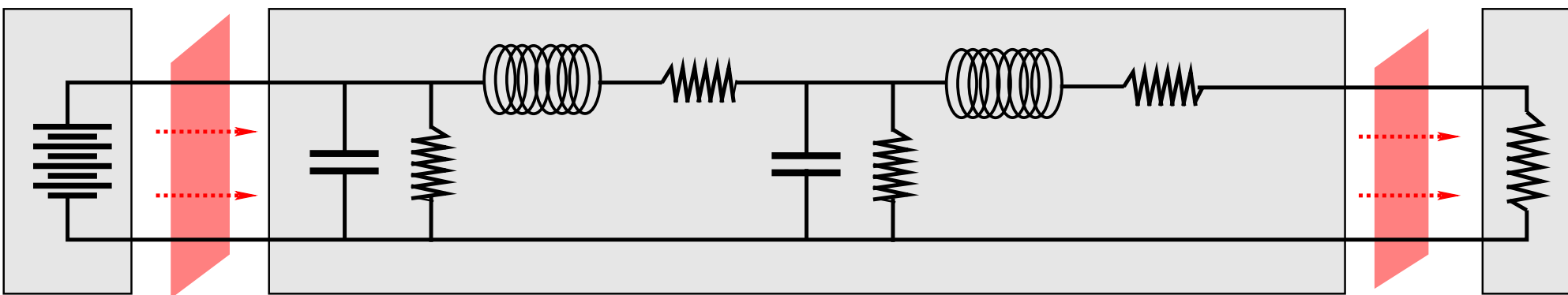
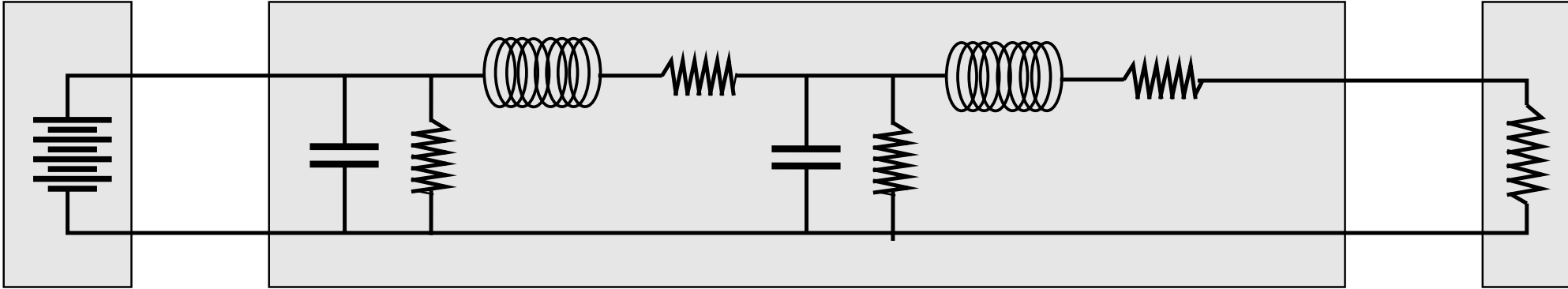
Observe the electrical variables on a set of wires.

How much energy is transferred?

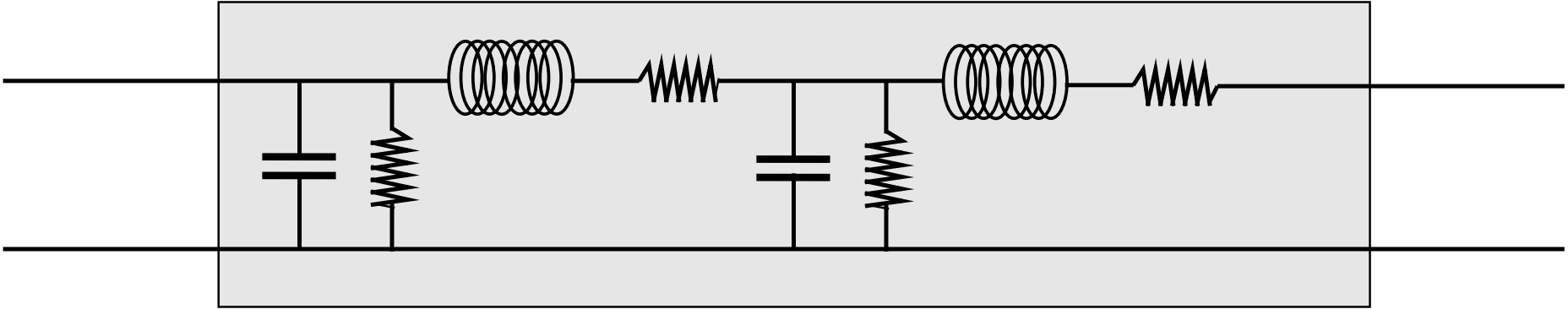
Examples



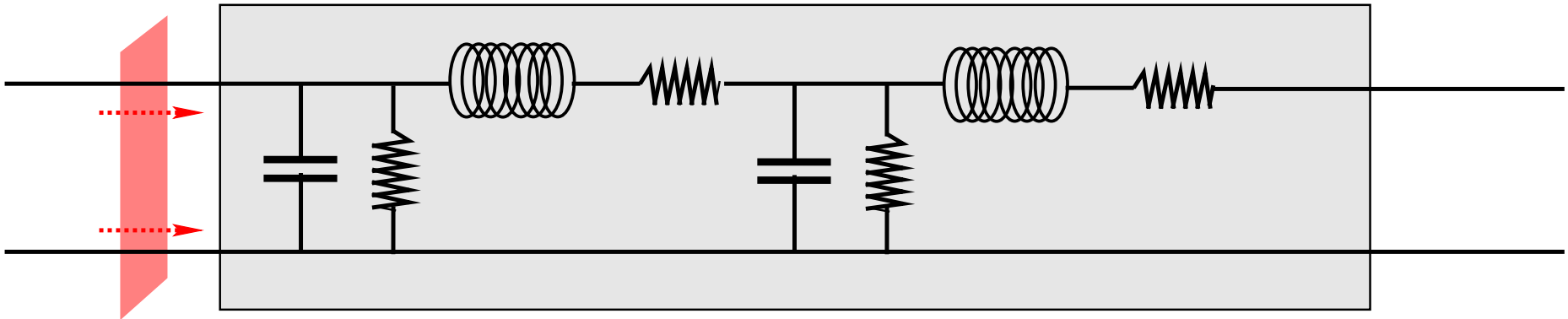
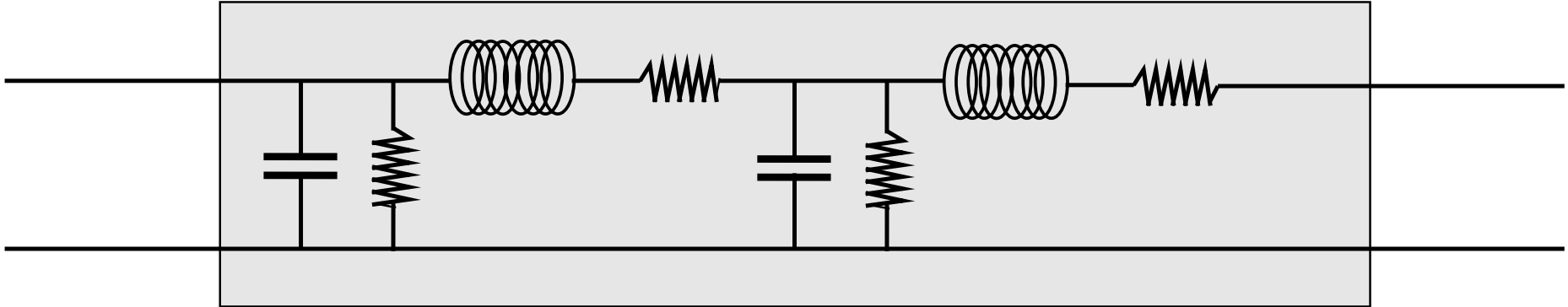
Examples



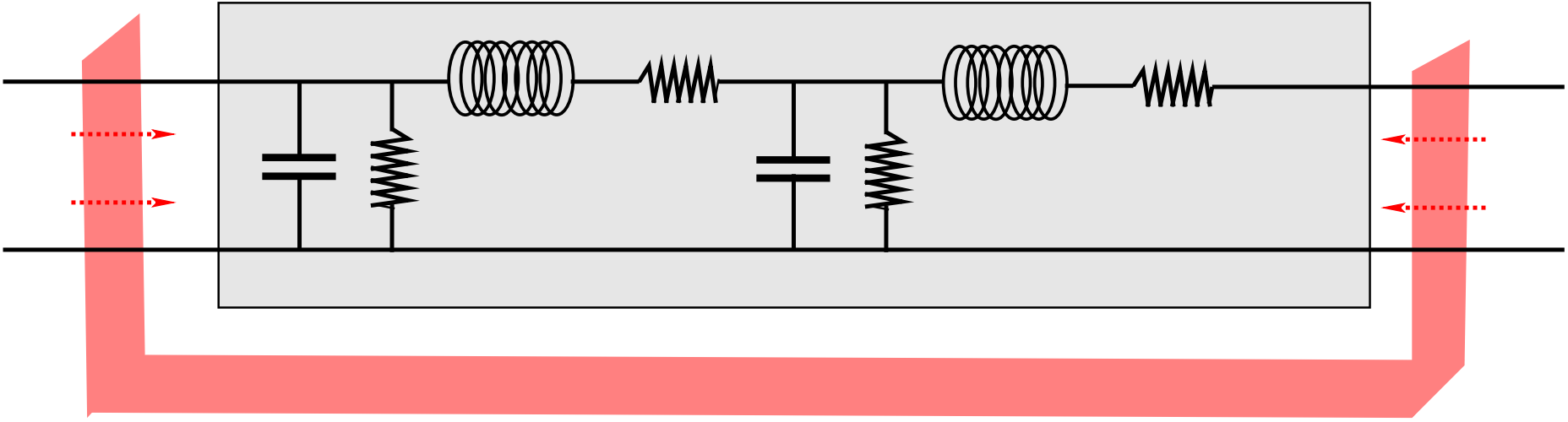
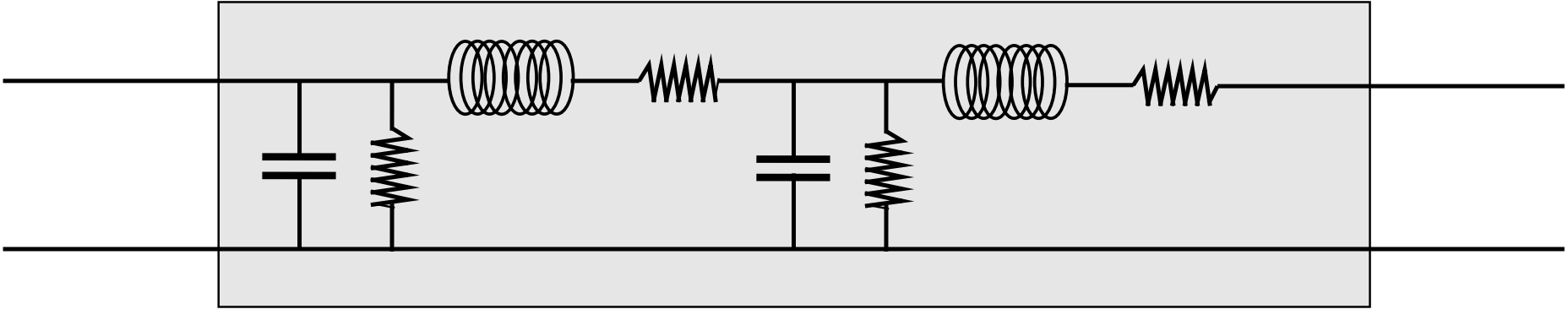
Examples



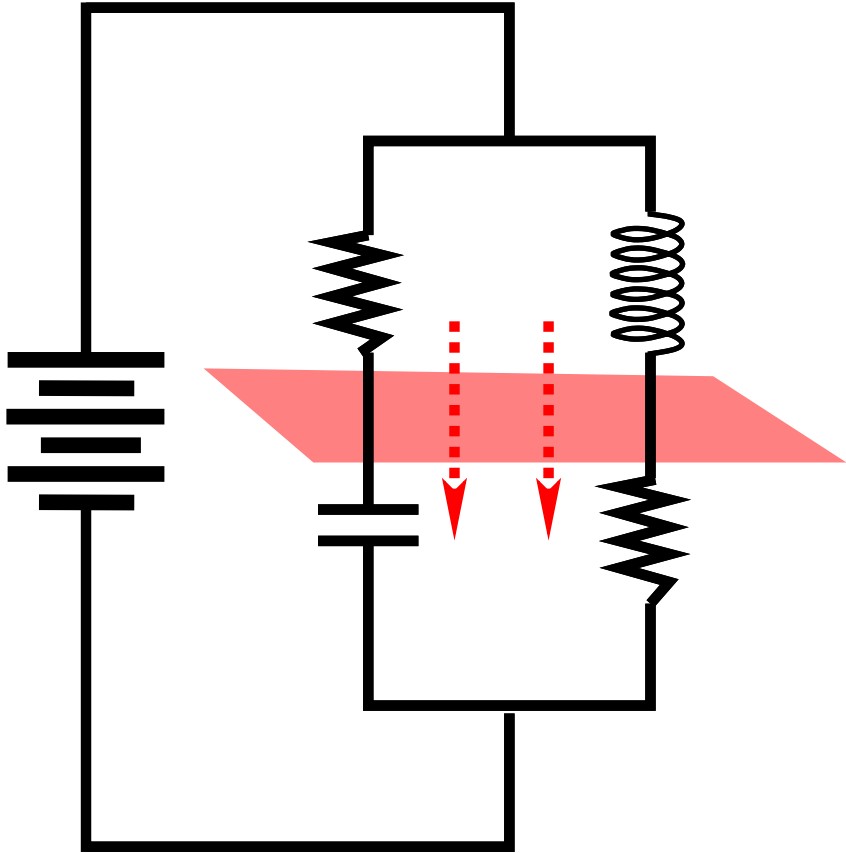
Examples



Examples



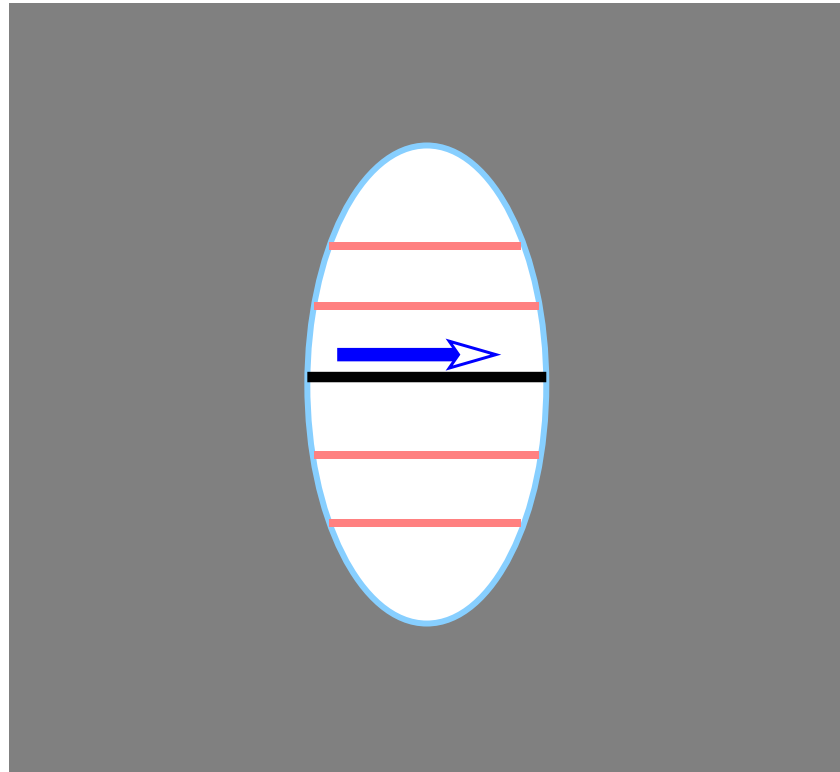
Examples



Electrical terminal variables

Currents and voltages

Assume no EM fields outside the wires.

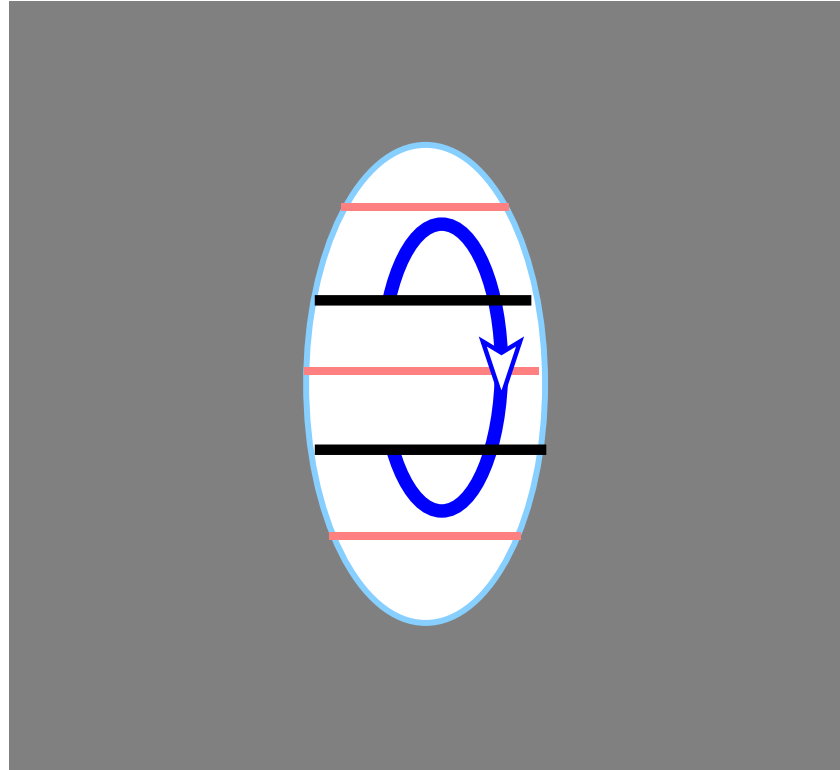


Through each wire, a **current.**

Measurable by ammeters.

Currents and voltages

Assume no EM fields outside the wires.



Across each pair of wires, a voltage.

Measurable by voltmeters.

Currents and voltages

Assume no EM fields outside the wires.

$$\rightsquigarrow \quad I = \begin{bmatrix} I_1 \\ I_2 \\ \vdots \\ I_N \end{bmatrix}, \quad V = \begin{bmatrix} V_{1,1} & V_{1,2} & \cdots & V_{1,N} \\ V_{2,1} & V_{2,2} & \cdots & V_{2,N} \\ \vdots & \vdots & \ddots & \vdots \\ V_{N,1} & V_{N,2} & \cdots & V_{N,N} \end{bmatrix}.$$

Assume (I, V) given on a time interval $[t_0, t_1]$.

$$\rightsquigarrow \quad I : [t_0, t_1] \rightarrow \mathbb{R}^N, \quad V : [t_0, t_1] \rightarrow \mathbb{R}^{N \times N}.$$

How much energy is transferred?

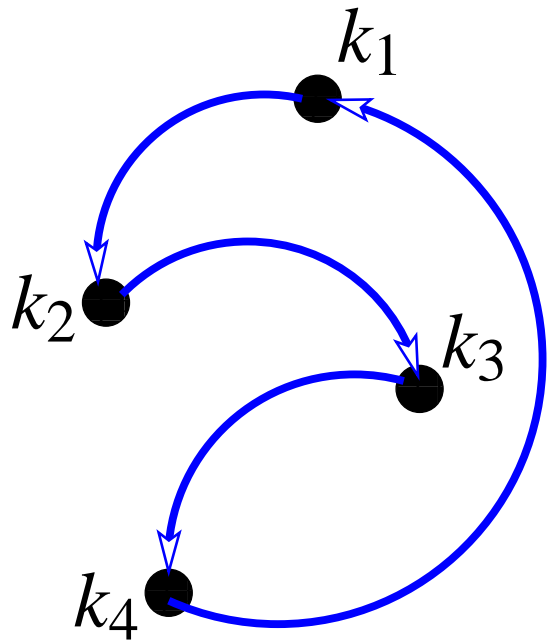
KVL & KCL

Kirchhoff's voltage law

KVL:

$$V_{k_1,k_2} + V_{k_2,k_3} + V_{k_3,k_4} + \cdots + V_{k_{n-1},k_n} + V_{k_n,k_1} = 0$$

for all $k_1, k_2, \dots, k_n \in \{1, 2, \dots, N\}$.



Physically, **KVL is evident.**
We henceforth assume it.

Potentials

Thm: $V : [t_0, t_1] \rightarrow \mathbb{R}^{N \times N}$ satisfies KVL \Leftrightarrow

$$\exists P = \begin{bmatrix} P_1 \\ P_2 \\ \vdots \\ P_N \end{bmatrix} : [t_0, t_1] \rightarrow \mathbb{R}^N \text{ such that } V_{k_1, k_2} = P_{k_1} - P_{k_2}.$$

Potentials

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P 'potential' $\Rightarrow \begin{bmatrix} P_1 + \alpha \\ P_2 + \alpha \\ \vdots \\ P_N + \alpha \end{bmatrix}$ potential $\forall \alpha : [t_0, t_1] \rightarrow \mathbb{R}$.

Kirchhoff's current law

KCL:

$$I_1 + I_2 + \cdots + I_N = 0.$$

Kirchhoff's current law

KCL: $I_1 + I_2 + \cdots + I_N = 0.$

KCL is a genuine restriction.

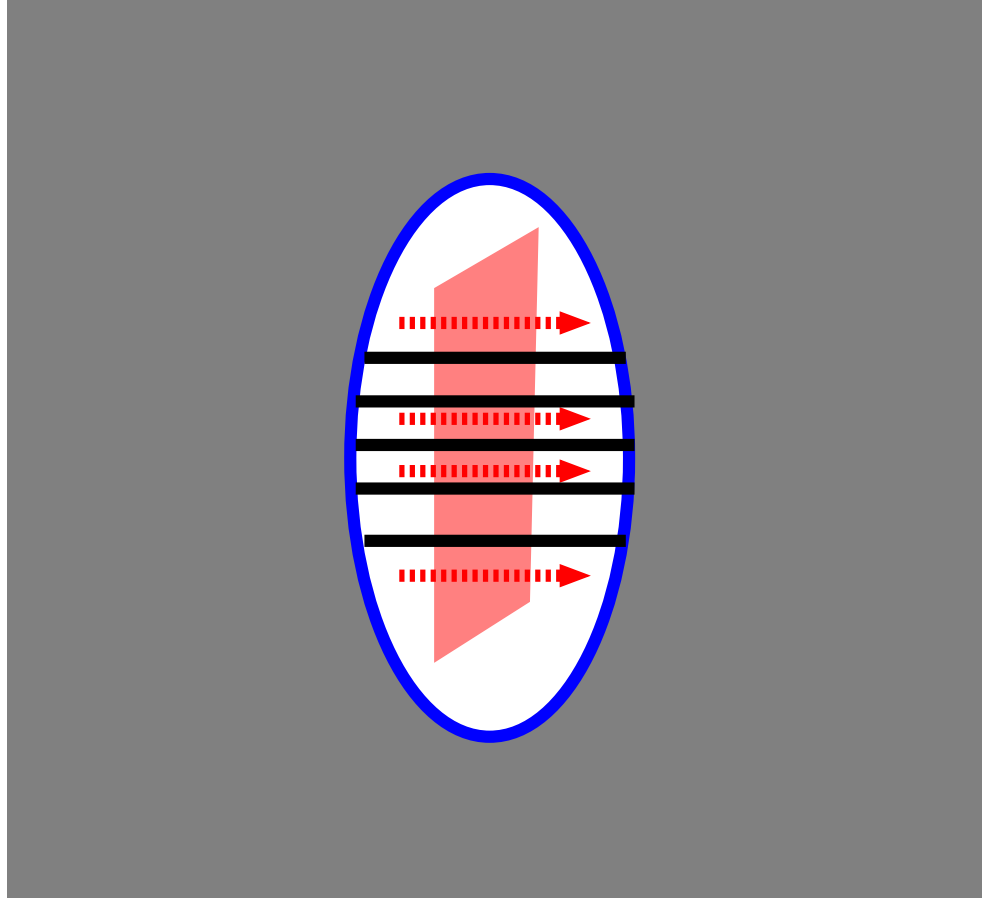
Often a consequence of the circuit architecture.

For example, the external terminals of an

RLC circuit satisfy KCL.

Energy transfer

Energy



Observe $(I, V) : [t_0, t_1] \rightarrow \mathbb{R}^N \times \mathbb{R}^{N \times N}$ on a set of wires.

How much energy is transferred?

Energy

Assume that KCL (& KVL) holds. Then

$$\text{power across} = I_1(t)P_1(t) + \cdots + I_N(t)P_N(t),$$

$$\text{energy transmitted} = \int_{t_1}^{t_2} [I_1(t)P_1(t) + \cdots + I_N(t)P_N(t)] dt.$$

This interpretation in terms of energy is not valid

unless KCL is satisfied !

Energy

Assume that KCL (& KVL) holds. Then

$$\text{energy transmitted} = \int_{t_1}^{t_2} [I_1(t)P_1(t) + \cdots + I_N(t)P_N(t)] dt.$$

In terms of currents and voltages,

$$\int_{t_1}^{t_2} [I_1(t)\widehat{V}_1(t) + \cdots + I_N(t)\widehat{V}_N(t)] dt,$$

with

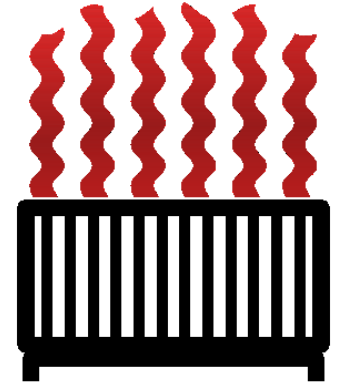
$$\widehat{V}_k := \frac{V_{k,1} + V_{k,2} + \cdots + V_{k,N}}{N}$$

Justification

Energy into heat

Energy :=

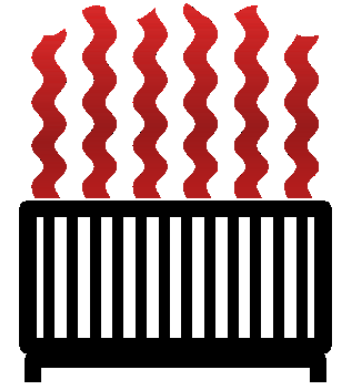
a physical quantity transformable into heat.



Energy into heat

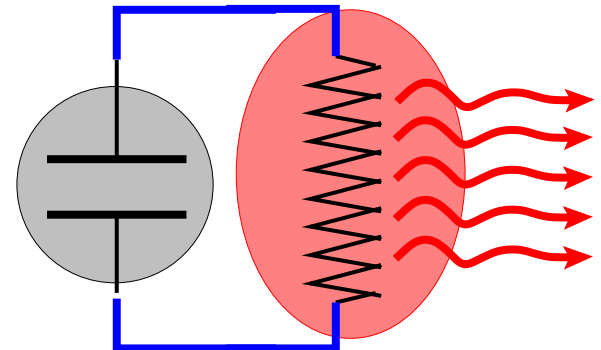
Energy :=

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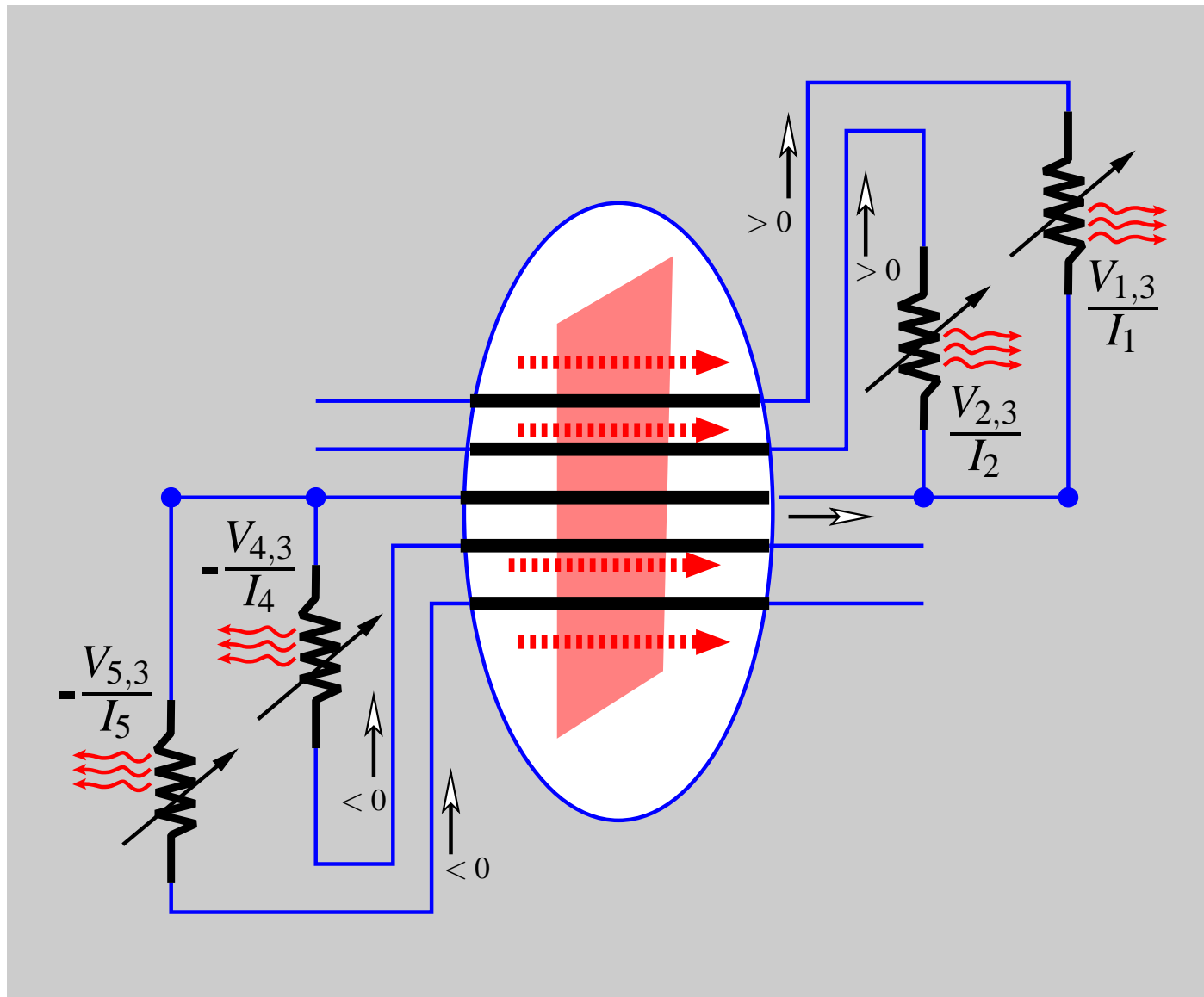


For example, capacitor \mapsto resistor \mapsto heat.

$$\text{Energy on capacitor} = \frac{1}{2}CV^2$$

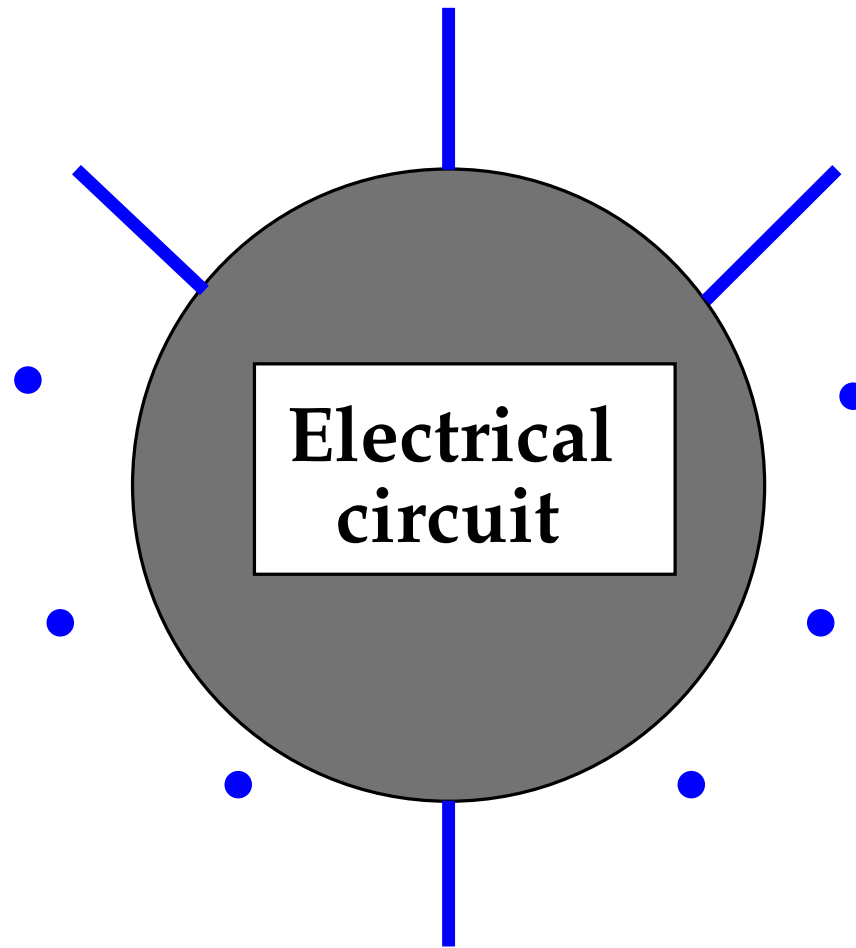


Currents into heat

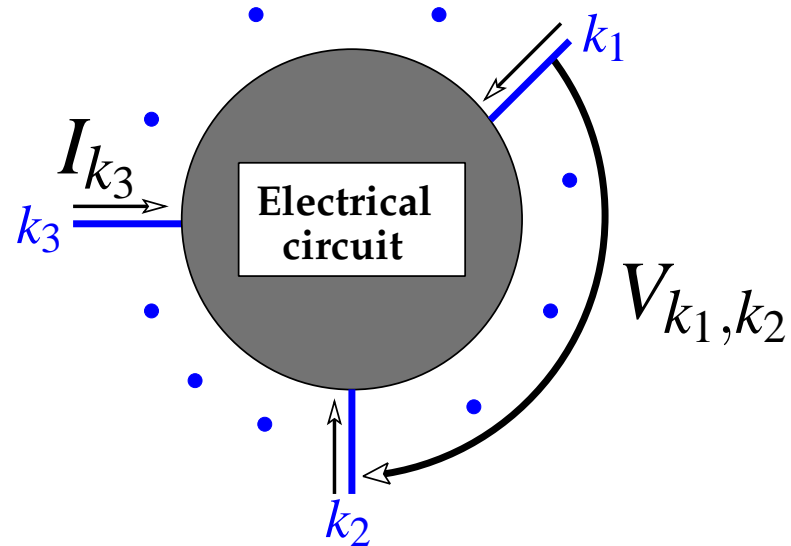


Terminal behavior

A circuit with external terminals



Currents and voltages



$$\rightsquigarrow \quad I = \begin{bmatrix} I_1 \\ I_2 \\ \vdots \\ I_N \end{bmatrix}, \quad V = \begin{bmatrix} V_{1,1} & V_{1,2} & \cdots & V_{1,N} \\ V_{2,1} & V_{2,2} & \cdots & V_{2,N} \\ \vdots & \vdots & \ddots & \vdots \\ V_{N,1} & V_{N,2} & \cdots & V_{N,N} \end{bmatrix}.$$

Currents and voltages

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$(I, V) \in \mathcal{B}_{IV}$ **means**

$$(I_1, I_2, \dots, I_k, \dots, I_N, V_{1,1}, V_{1,2}, \dots, V_{k_1, k_2}, \dots, V_{N,N}) : \mathbb{R} \rightarrow \mathbb{R}^N \times \mathbb{R}^{N \times N}$$

is compatible with circuit architecture and its element values.

These trajectories $(I, V) \in \mathcal{B}_{IV}$ can conceivably occur.

KVL & KCL

Kirchhoff voltage law:

$$\llbracket (I, V) \in \mathcal{B}_{IV} \rrbracket$$

$$\Rightarrow \llbracket V_{k_1, k_2} + V_{k_2, k_3} + V_{k_3, k_4} + \cdots + V_{k_{n-1}, k_n} + V_{k_n, k_1} = 0$$

for all $k_1, k_2, \dots, k_n \in \{1, 2, \dots, N\} \rrbracket$.

KVL leads to potentials $P = \begin{bmatrix} P_1 \\ P_2 \\ \vdots \\ P_N \end{bmatrix}$ **and** \mathcal{B}_{IP} .

KVL & KCL

Kirchhoff voltage law:

$$\llbracket (I, V) \in \mathcal{B}_{IV} \rrbracket$$

$$\Rightarrow \llbracket V_{k_1, k_2} + V_{k_2, k_3} + V_{k_3, k_4} + \cdots + V_{k_{n-1}, k_n} + V_{k_n, k_1} = 0 \rrbracket$$

for all $k_1, k_2, \dots, k_n \in \{1, 2, \dots, N\}$.

KVL leads to potentials and \mathcal{B}_{IP} .

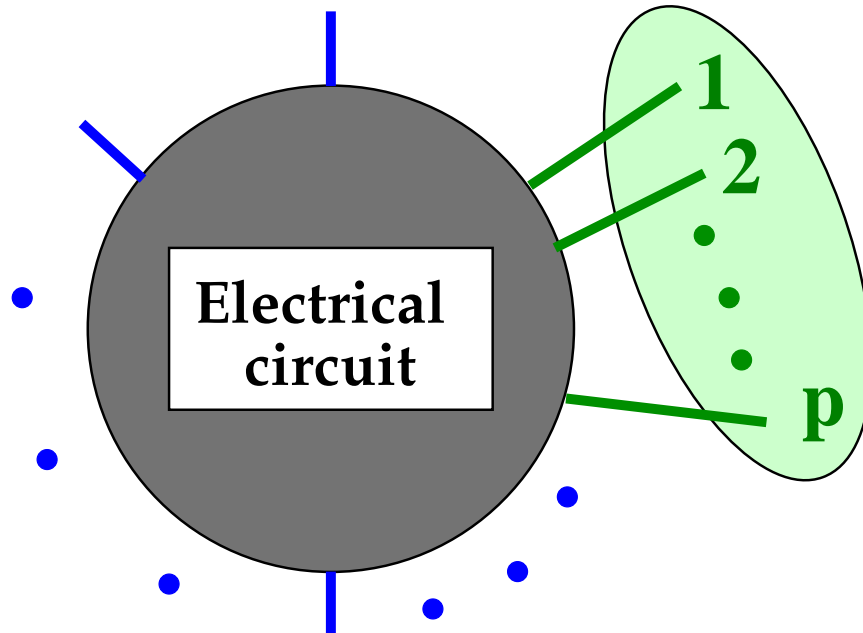
Kirchhoff current law:

$$\llbracket (I_1, I_2, \dots, I_N, V_{1,1}, V_{1,2}, \dots, V_{k_1, k_2}, \dots, V_{N,N}) \in \mathcal{B}_{IV} \rrbracket$$

$$\Rightarrow \llbracket I_1 + I_2 + \cdots + I_N = 0 \rrbracket.$$

Ports

Energy transfer



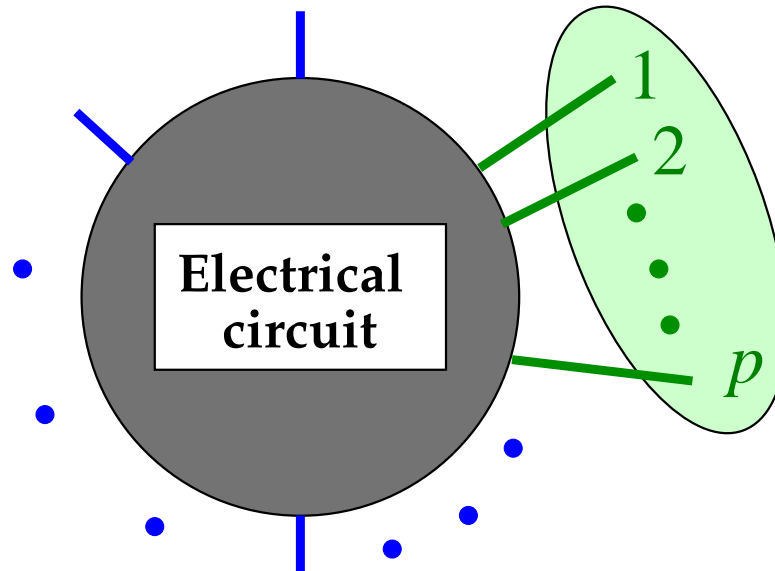
Environment

Can we speak about

the energy transferred from the environment to the circuit along these terminals?

Electrical ports

Assume KVL.

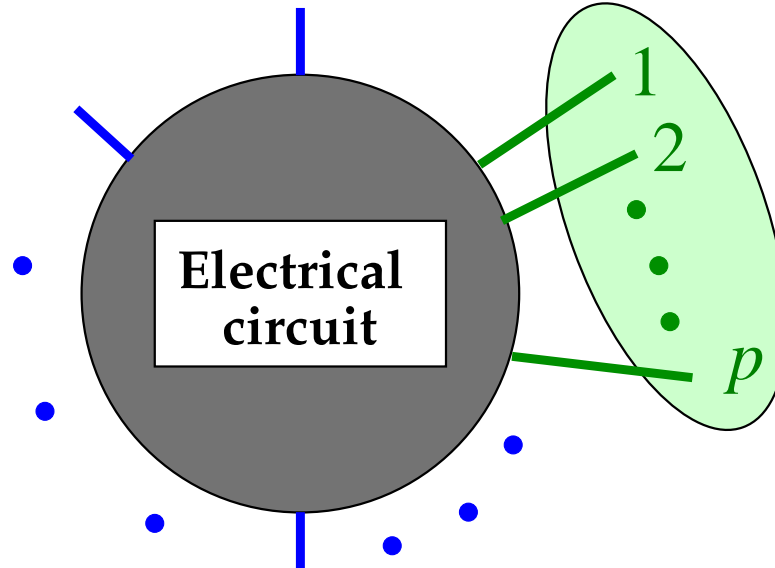


Terminals $\{1, 2, \dots, p\}$ form a **port** $:\Leftrightarrow$

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, V_{1,1}, \dots, V_{k_1, k_2}, \dots, V_{N,N}) \in \mathcal{B}_{IV} \rrbracket$$

$$\Rightarrow \llbracket I_1 + I_2 + \dots + I_p = 0 \rrbracket. \quad \textit{‘port KCL’}$$

Energy



If terminals $\{1, 2, \dots, p\}$ form a port, then

$$\text{power in} = I_1(t)P_1(t) + \dots + I_p(t)P_p(t)$$

$$\text{energy in} = \int_{t_1}^{t_2} [I_1(t)P_1(t) + \dots + I_p(t)P_p(t)] dt$$

This interpretation in terms of power and energy is not valid
unless these terminals form a port !

Internal ports

Analogous definition for internal terminals

~> **internal ports,**

combinations of external and internal terminals

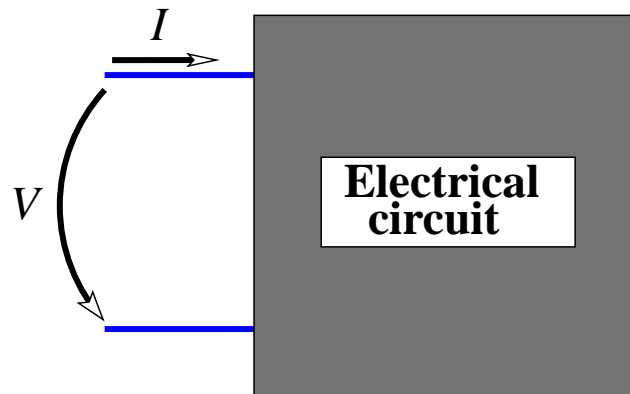
~> **mixed ports.**

Examples

2-terminal circuits

2-terminal 1-port devices:

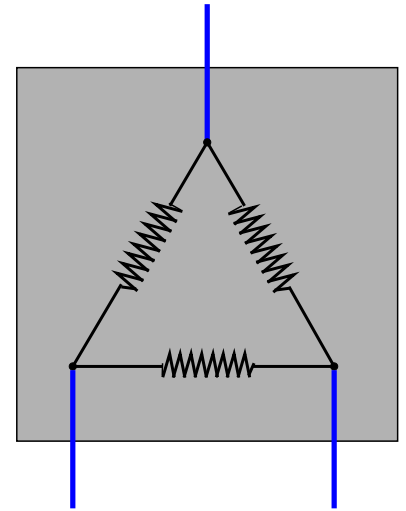
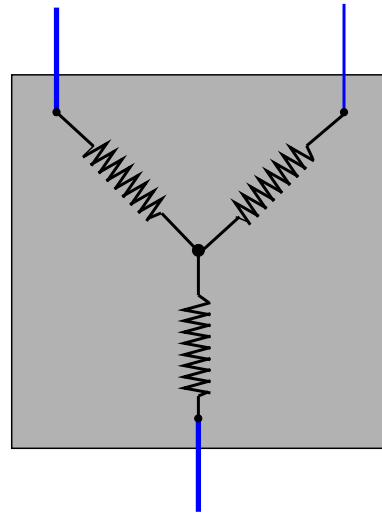
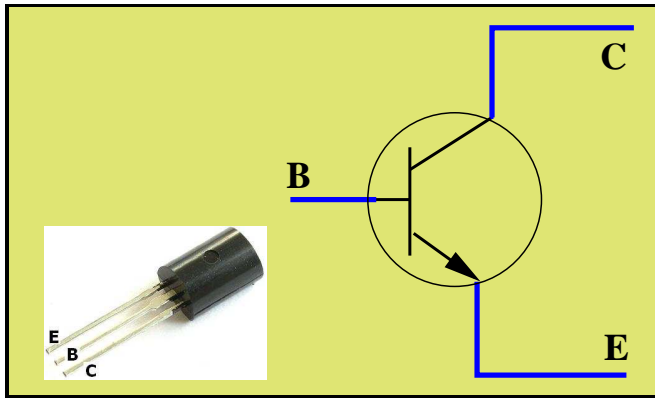
resistors, inductors, capacitors, memristors, etc.,
any 2-terminal circuit composed of these.



KVL \Rightarrow only $V_{1,2} := V$ matters,

KCL $\Rightarrow I_1 = -I_2 =: I$.

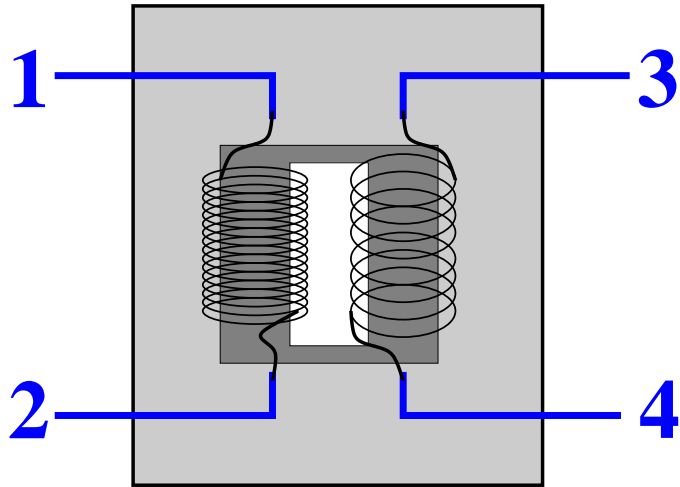
3-terminal circuits



3-terminal 1-ports.

Transformer

A transformer:

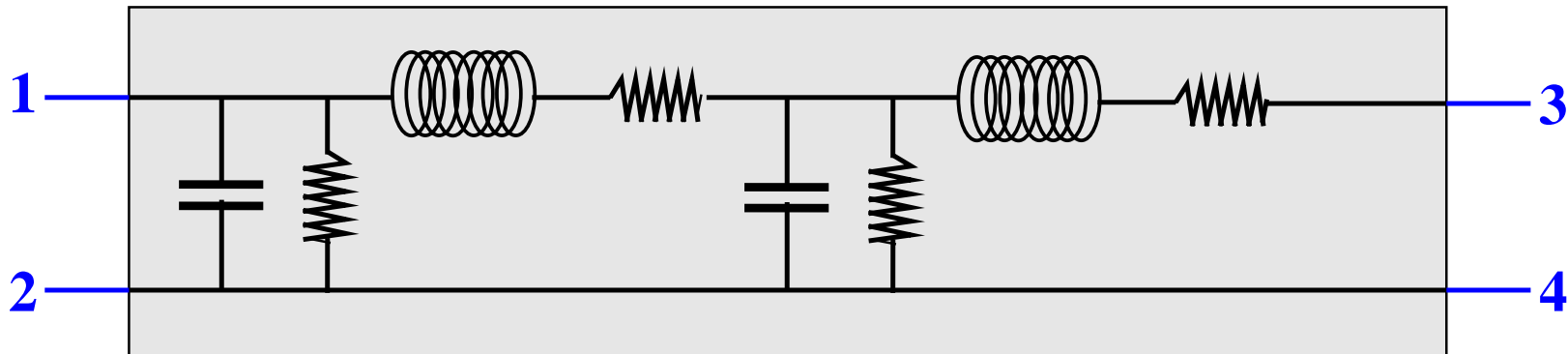


$$P_3 - P_4 = n(P_1 - P_2),$$
$$I_1 = -nI_3,$$
$$I_1 + I_2 = 0, \quad I_3 + I_4 = 0.$$

$\{1, 2\}$ and $\{3, 4\}$ form ports.

A transformer = a 2-port with two 2-terminal ports.

Transmission line

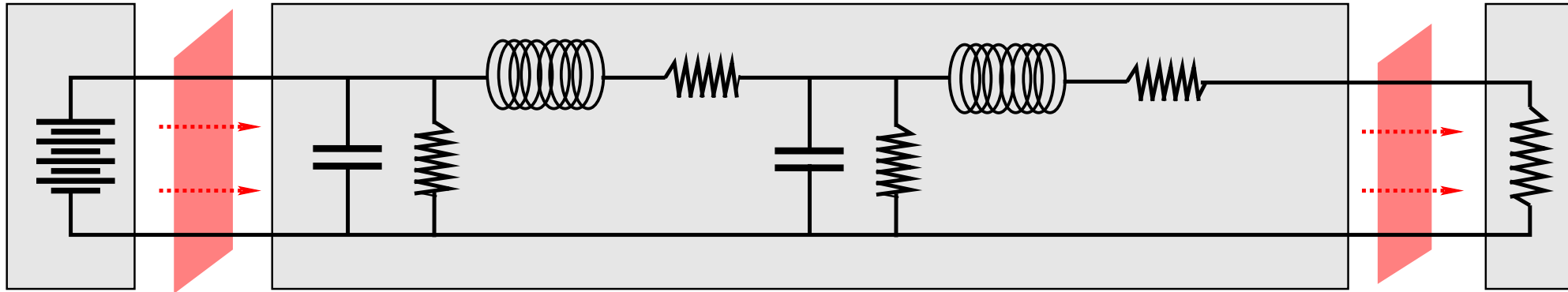


Terminals $\{1, 2, 3, 4\}$ form a port;
 $\{1, 2\}$ and $\{3, 4\}$ do not.

We cannot speak about

“the energy transferred from terminals $\{1, 2\}$ to $\{3, 4\}$ ”,
or *“from the environment to the circuit through $\{1, 2\}$ ”.*

Transmission line

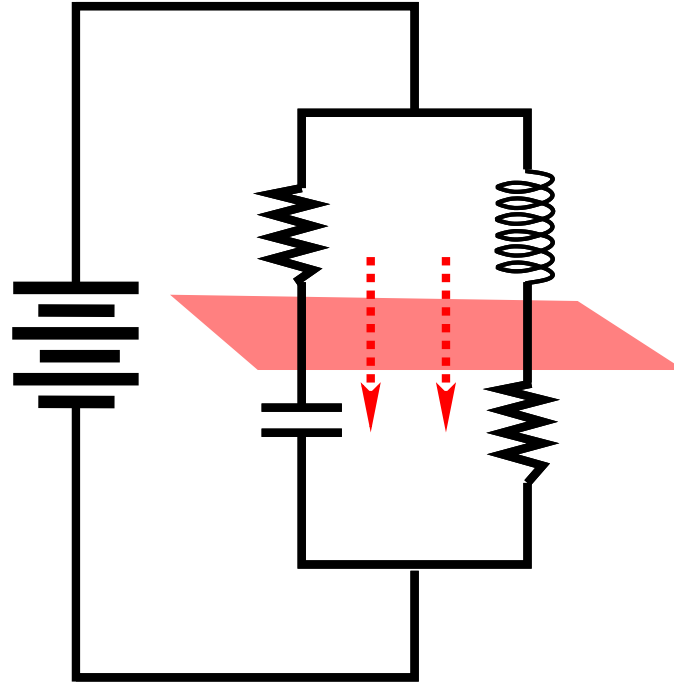


The energy flows from the source and to the load are well-defined, since the terminals form internal ports.

Therefore we can speak about

“the energy transferred from the source to the load”.

RLC circuit



Not an internal port: energy flow not well-defined.

Passivity

Definition of passivity

Assume KCL & KVL.

An N -terminal circuit said to be **passive** : \Leftrightarrow

$\forall (I, P) \in \mathcal{B}_{IP}, \forall t_0 \in \mathbb{R}, \exists K \in \mathbb{R}$ such that

$$\int_{t_0}^{t_1} [I_1(t)P_1(t) + \cdots + I_N(t)P_N(t)] dt < K \quad \text{for } t_1 \geq t_0.$$

Definition of passivity

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$$\int_{t_0}^{t_1} [I_1(t)P_1(t) + \cdots + I_N(t)P_N(t)] dt < K \quad \text{for } t_1 \geq t_0.$$

\exists an equivalent definition in terms of storages.

For linear time-invariant differential circuits

\rightsquigarrow positive realness, LMI's, etc.

Two theorems

Are ports common?

Theorem: Consider an electrical circuit consisting of an interconnection of (linear passive) R's, L's, C's.

Assume that **every pair of terminals is connected** by the circuit graph. Then

the only port is the one that consists of all the terminals.

Are ports common?

Theorem: Consider an electrical circuit consisting of an interconnection of (linear passive) R's, L's, C's.

Assume that **every pair of terminals is connected** by the circuit graph. Then

the only port is the one that consists of all the terminals.

**For non-trivial ports, we need multi-port elements,
as transformers or gyrators.**

Port KVL

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1, \dots, P_p, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP}, \alpha : \mathbb{R} \rightarrow \mathbb{R} \rrbracket$$

$$\Rightarrow \llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1 + \alpha, \dots, P_p + \alpha, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP} \rrbracket.$$

‘port KVL’

Port KVL

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1, \dots, P_p, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP}, \alpha : \mathbb{R} \rightarrow \mathbb{R} \rrbracket$$

$$\Rightarrow \llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1 + \alpha, \dots, P_p + \alpha, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP} \rrbracket.$$

‘port KVL’

Port KVL \Leftrightarrow

only $V_{k,\ell}$ **for** $k, \ell = 1, 2, \dots, p$

and $V_{k',\ell'}$ **for** $k', \ell' = p + 1, p + 2, \dots, N$

enter in the behavioral equations.

Port KVL

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1, \dots, P_p, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP}, \alpha : \mathbb{R} \rightarrow \mathbb{R} \rrbracket$$

$$\Rightarrow \llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1 + \alpha, \dots, P_p + \alpha, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP} \rrbracket.$$

‘port KVL’

Port KVL \Leftrightarrow

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1, \dots, P_p, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP}, \alpha, \beta : \mathbb{R} \rightarrow \mathbb{R} \rrbracket$$

$$\Rightarrow \llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1 + \alpha, \dots, P_p + \alpha, P_{p+1} + \beta, \dots, P_N + \beta) \in \mathcal{B}_{IP} \rrbracket.$$

Port KVL

$$\llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1, \dots, P_p, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP}, \alpha : \mathbb{R} \rightarrow \mathbb{R} \rrbracket$$

$$\Rightarrow \llbracket (I_1, \dots, I_p, I_{p+1}, \dots, I_N, P_1 + \alpha, \dots, P_p + \alpha, P_{p+1}, \dots, P_N) \in \mathcal{B}_{IP} \rrbracket.$$

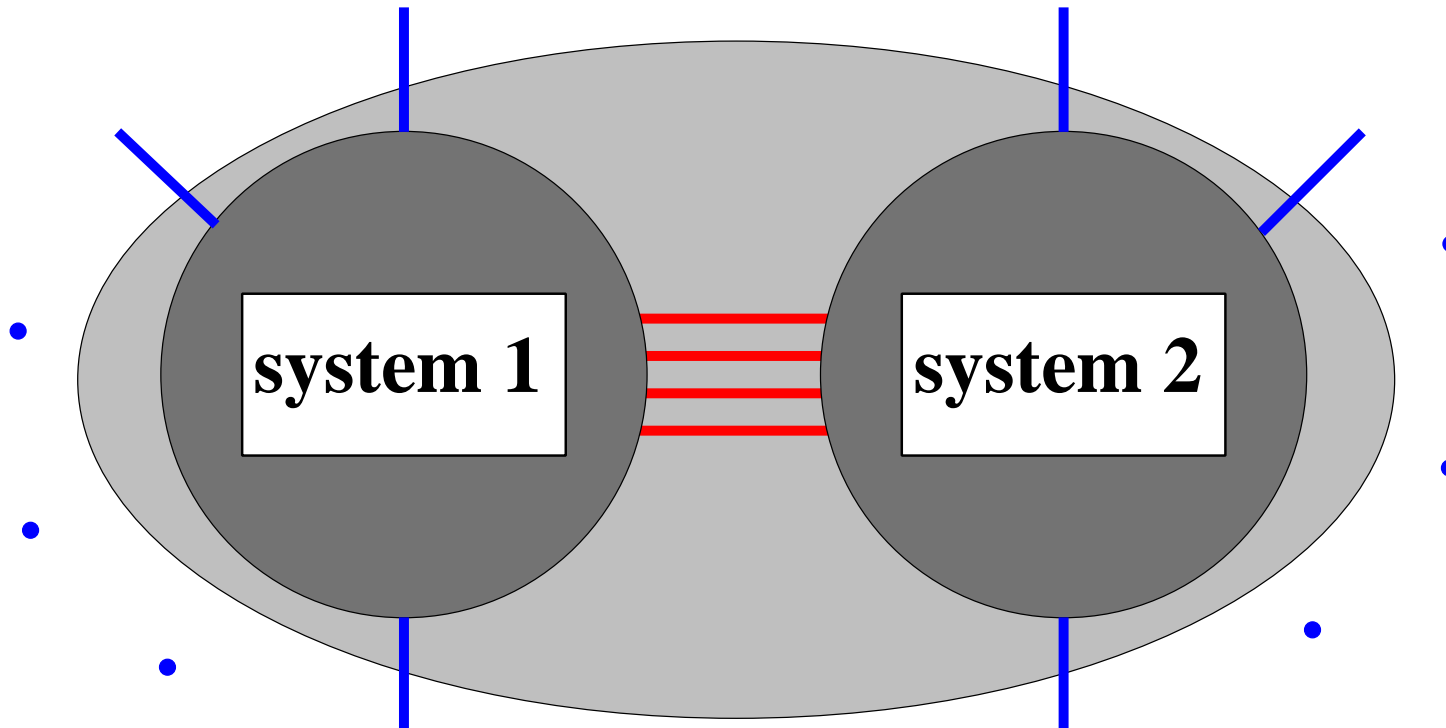
‘port KVL’

Theorem: For linear passive controllable circuits

port KVL \Leftrightarrow port KCL.

Conclusions

Energy transfer



One cannot speak about

“the energy transferred from system 1 to system 2”

or *“from the environment to system 1”*,

unless the relevant terminals form a port.

Interconnection versus energy transfer

Terminals are for interconnection.

Ports are for energy transfer.

**A ‘port’ is a set of terminals with a special property
(port KCL).**

Energy is NOT an ‘extensive’ quantity

Reference: *Terminals and Ports*, Circuits and Systems Magazine, vol. 10, issue 4, pages 8-16, Dec. 2010.

**Copies of the lecture frames available from/at
<http://www.esat.kuleuven.be/~jwillems>**

Thank you

Thank you

Thank you

Thank you

Thank you

Thank you

Thank you

Thank you



Happy birthday, Brian! Ad multos annos!