



INTERCONNECTED SYSTEMS

Jan C. Willems K.U. Leuven, Flanders, Belgium

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- Open, connected, and modular
- [Classical dynamical systems]
- [Input/output systems]
- Modeling by tearing, zooming, and linking
- [On canceling poles and zeros]
- ► [DAEs]
- Signal flow graphs
- Bond graphs
- Circuit diagrams
- [Control as interconnection]

Systems

















open

- interconnected
- modular

dynamic



open

- interconnected
- modular
- dynamic

Aim:

develop a suitable mathematical language

aimed at computer-assisted modeling.

Modeling \Leftrightarrow *Describing reality accurately*

Open, connected, modular





Systems interact with their environment





Systems consist of an architecture of interconnected subsystems



Systems are modular: composed of 'building blocks'



The development of the notion

of a dynamical system

Theme

- 1. Get the physics right
- 2. The rest is mathematics



R.E. Kalman Opening lecture IFAC World Congress Prague, July 4, 2005 **First things first**

- 1. Get the physics right
- 2. The rest is mathematics



R.E. Kalman Opening lecture IFAC World Congress Prague, July 4, 2005

Prima la fisica, poi la matematica

- Get the physics right
- Translate the physics into mathematics
- The rest is mathematics

What are the 'right' concepts? What is the 'natural' generalization? What are the 'relevant' questions?

Closed dynamical systems

Inputs and outputs

Theme of this lecture

We are accustomed to view an open dynamical system as an input/output structure (with or without the state)



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We are accustomed to view an open dynamical system as an input/output structure (with or without the state)



Is this an appropriate abstraction of models of physical systems?











An example































Subsystem 2 (pipe):





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$$f = -f', \quad p - p' = \alpha f$$



Interconnection laws:





Interconnection laws:





Interconnection laws:



Leads to the complete model:
$$A_{1} \frac{d}{dt} h_{1} = f_{1} + f_{1}',$$

$$B_{1} f_{1} = \begin{cases} \sqrt{|p_{1} - p_{0} - \rho h_{1}|} & \text{if } p_{1} - p_{0} \ge \rho h_{1}, \\ -\sqrt{|p_{1} - p_{0} - \rho h_{1}|} & \text{if } p_{1} - p_{0} \le \rho h_{1}, \end{cases}$$

$$C_{1} f_{1}' = \begin{cases} \sqrt{|p_{1}' - p_{0} - \rho h_{1}|} & \text{if } p_{1}' - p_{0} \ge \rho h_{1}, \\ -\sqrt{|p_{1}' - p_{0} - \rho h_{1}|} & \text{if } p_{1}' - p_{0} \ge \rho h_{1}, \end{cases}$$

$$(blackbox 1)$$

$$f_2 = -f'_2, \quad p_2 - p'_2 = \alpha f_2,$$
 (blackbox 2)

$$A_{3} \frac{d}{dt} h_{3} = f_{3} + f_{3}',$$

$$Cf_{3} = \begin{cases} \sqrt{|p_{3} - p_{0} - \rho h_{3}|} & \text{if } p_{3} - p_{0} \ge \rho h_{3}, \\ -\sqrt{|p_{3} - p_{0} - \rho h_{3}|} & \text{if } p_{3} - p_{0} \le \rho h_{3}, \end{cases}$$

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$$f_{3} = \begin{cases} \sqrt{|p_{3}' - p_{0} - \rho h_{3}|} & \text{if } p_{3}' - p_{0} \ge \rho h_{3}, \\ -\sqrt{|p_{3}' - p_{0} - \rho h_{3}|} & \text{if } p_{3}' - p_{0} \le \rho h_{3}, \end{cases}$$

$$p'_1 = p_2, f'_1 + f_2 = 0, p'_2 = p_3, f'_2 + f_3 = 0.$$
 (interconnection)

 $p_{\text{left}} = p_1, \quad f_{\text{left}} = f_1, \quad p_{\text{right}} = p'_3, \quad f_{\text{right}} = f'_3.$ (manifest variable assignment)



This tableau of equations is the endpoint of a straightforward first-principles-modeling procedure.

- Unclear (and, in fact, irrelevant) input/output structure for the terminal variables, both in the overall system and in the subsystems
- Many variables, indivisibly, at the same terminal
- Interconnection = variable sharing
- No signal flows, no output-to-input assignment



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These remarks pertain to every physical interconnection. And, ultimately, every interconnection is physical.

Behavioral systems

A dynamical system

:⇔ a family of time trajectories, *'the behavior'*

Interconnection \Leftrightarrow *'variable sharing'*

Control \Leftrightarrow *interconnection*

Modeling of interconnected physical systems is the strongest case for 'behaviors'.



We consider systems that interact with their environment through terminals



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There are many electrical, mechanical, hydraulic, thermal, civil engineering, pneumatic, ... connections that can be viewed this way, *exactly*, *literally*.

For mechanical systems, think of interconnections as screwing, gluing, welding, ... Hinges, hooks, etc. ought to be thought of as devices (modules).



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The clearest example is an **electrical** connection. A terminal = a single wire.

Interconnection architecture





Formalize mathematically **interconnection** of systems.

Graph with leaves

Architecture:

graph with leaves



- vertices \rightsquigarrow systems with terminals
 - **edges** \rightsquigarrow connected terminals
 - **leaves** \rightsquigarrow interaction with environment

terminals \rightsquigarrow system variables

1. **Module equations** for each vertex. Relation among the variables on the terminals.

2. Interconnection equations for each edge. Equating the variables on the terminals associated with the same edge.

3. Manifest variable assignment Specifies the variables of interest.

- 1. Module equations for each vertex. Relation among the variables on the terminals. Behavioral equations stored as (parametrized) modules in a data-base.
- 2. Interconnection equations for each edge.

 Equating the variables on the terminals associated with the same edge.

 Interconnection laws stored in a data-base.

 Laws depend on terminal type: electrical / mechanical / hydraulic / etc.
- 3. Manifest variable assignment Specifies the variables of interest.

An example



Model the port behavior of



by tearing, zooming, and linking.



Model the **port behavior** of



by tearing, zooming, and linking.

In each vertex there is a module \rightsquigarrow module equations each terminal involves 2 variables (potential, current) in each edge there is an electrical interconnection \rightsquigarrow

interconnection equations









Module equations

vertex 1:
$$V_{\text{connector1,1}} = V_{\text{connector1,2}} = V_{\text{connector1,3}}$$

 $I_{\text{connector1,1}} + I_{\text{connector1,2}} + I_{\text{connector1,3}} = 0$
vertex 2: $V_{R_{C},1} - V_{R_{C},2} = R_{C}I_{R_{C},1}, I_{R_{C},1} + I_{R_{C},2} = 0$
vertex 3: $L\frac{d}{dt}I_{L,1} = V_{L,1} - V_{L,2}, I_{L,1} + I_{L,2} = 0$
vertex 4: $C\frac{d}{dt}(V_{C,1} - V_{C,2}) = I_{C,1}, I_{C,1} + I_{C,2} = 0$
vertex 5: $V_{R_{L},1} - V_{R_{L},2} = R_{L}I_{R_{L},1}$
 $I_{R_{L},1} + I_{R_{L},2} = 0$
vertex 6: $V_{\text{connector2,1}} = V_{\text{connector2,2}} = V_{\text{connector2,3}} = 0$

Module equations

$$V_{\text{connector1,1}} = V_{\text{connector1,2}} = V_{\text{connector1,3}}$$

$$I_{\text{connector1,1}} + I_{\text{connector1,2}} + I_{\text{connector1,3}} = 0$$

$$V_{R_{C},1} - V_{R_{C},2} = R_{C}I_{R_{C},1}, I_{R_{C},1} + I_{R_{C},2} = 0$$

$$L \frac{d}{dt}I_{L,1} = V_{L,1} - V_{L,2}, I_{L,1} + I_{L,2} = 0$$

$$I_{C,2}$$

$$V_{C,2}$$

$$V_{R_{L},1} - V_{R_{L},2} = R_{L}I_{R_{L},1}$$

$$I_{R_{L},1} + I_{R_{L},2} = 0$$

$$V_{\text{connector2,1}} = V_{\text{connector2,2}} = V_{\text{connector2,3}} = 0$$

All interconnections are of electrical type





Interconnection equations:

potential left = potential right \sim $V_{left} = V_{right}$ current left + current right = 0 \sim $I_{left} + I_{right} = 0$

Interconnection equations

edge c:
$$V_{R_{C,1}} = V_{\text{connector1,2}}$$
 $I_{R_{C,1}} + I_{\text{connector1,2}} = 0$
edge d: $V_{L,1} = V_{\text{connector1,3}}$ $I_{L,1} + I_{\text{connector1,3}} = 0$
edge e: $V_{R_{C,2}} = V_{C,1}$ $I_{R_{C,2}} + I_{C,1} = 0$
edge f: $V_{L,2} = V_{R_{C,1}}$ $I_{L,2} + I_{R_{L,1}} = 0$
edge g: $V_{C,2} = V_{\text{connector2,1}}$ $I_{C,2} + I_{\text{connector2,1}} = 0$
edge h: $V_{R_{L,2}} = V_{\text{connector2,2}}$ $I_{R_{L,2}} + I_{\text{connector2,2}} = 0$

Interconnection equations

$$V_{R_{C,1}} = V_{\text{connector1,2}} \qquad I_{R_{C,1}} + I_{\text{connector1,2}} = 0$$
edge d:
$$V_{L,1} = V_{\text{connector1,3}}$$

$$I_{L,1} + I_{\text{connector1,3}} = 0$$

$$V_{\text{connector1,3}} = 0$$

$$V_{L,1} = V_{L,1} + I_{\text{connector1,3}} = 0$$

$$V_{L,1} = V_{L,1} + I_{L,1} + I_{L,2} + I_{L,1} + I_{L,1} + I_{L,1} + I_{L,1} + I_{L,2} + I_{L,1} + I_{L,1} + I_{L,1} + I_{L,1} + I_{L,2} + I_{L,1} +$$

Manifest variable assignment

$$V_{\text{externalport}} = V_{\text{connector1,1}} - V_{\text{connector2,3}}$$
$$I_{\text{externalport}} = I_{\text{connector1,1}}$$



Complete model

$$edge c: V_{R_{C,1}} = V_{connector1,2}$$

$$rertex 1: V_{connector1,1} = V_{connector1,2} = V_{connector1,3}$$

$$I_{connector1,1} + I_{connector1,2} + I_{connector1,3} = 0$$

$$rertex 2: V_{R_{C,1}} - V_{R_{C,2}} = R_{C}I_{R_{C,1}}, I_{R_{C,1}} + I_{R_{C,2}} = 0$$

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$$I_{R_{L,1}} + I_{R_{L,2}} = 0$$

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$$I_{connector2,1} + I_{connector2,2} = V_{connector2,3} = 0$$

$$retex 6: V_{connector2,1} = V_{connector2,2} = V_{connector2,3} = 0$$

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$$V_{\text{externalport}} = V_{\text{connector},1,1} - V_{\text{connector}2,3}$$
$$I_{\text{externalport}} = I_{\text{connector}1,1}$$

Port behavior

 $\mathscr{B} = \{ \begin{array}{l} (V_{\text{externalport}}, I_{\text{externalport}}) : \mathbb{R} \to \mathbb{R}^2 \mid \\ \exists \text{ latent variables trajectories} \\ (V_{\text{connector}_{1,1}}, I_{\text{connector}_{1,1}}, \dots, \dots) : \mathbb{R} \to \mathbb{R}^{28} \\ \textbf{such that} \\ V_{\text{connector}_{1,1}} = V_{\text{connector}_{1,2}} = V_{\text{connector}_{1,3}}, \dots, \\ \textbf{all 24 equations are satisfied} \} \end{cases}$

Port behavior

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Can we simplify this expression for *B*?



\rightsquigarrow the dynamical system with behavior ${\mathscr B}$ specified by:

Case 1:
$$CR_C \neq \frac{L}{R_L}$$

$$\left| \left(\frac{R_C}{R_L} + \left(1 + \frac{R_C}{R_L} \right) C R_C \frac{d}{dt} + C R_C \frac{L}{R_L} \frac{d^2}{dt^2} \right) \mathbf{V} = \left(1 + \frac{L}{R_L} \frac{d}{dt} \right) \left(1 + C R_C \frac{d}{dt} \right) R_C \mathbf{I} \right|$$

$$\rightsquigarrow \mathscr{B} = \text{all solutions } (V, I) : \mathbb{R} \to \mathbb{R}^2$$

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<u>**Case 2:**</u> $CR_C = \frac{L}{R_L}$

$$\left(\frac{R_C}{R_L} + CR_C \frac{d}{dt}\right) \mathbf{V} = \left(1 + CR_C \frac{d}{dt}\right) R_C \mathbf{I}$$

 $\rightsquigarrow \mathscr{B} = \text{all solutions } (V, I) : \mathbb{R} \to \mathbb{R}^2$

Port behavior

Thm: In LTIDSs latent variables can be eliminated !

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<u>Case 2</u>: $CR_C = \frac{L}{R_L}$

$$\left(\frac{R_C}{R_L} + CR_C \frac{d}{dt}\right) \mathbf{V} = \left(1 + CR_C \frac{d}{dt}\right) R_C \mathbf{I}$$

 $\rightsquigarrow \mathscr{B} = \text{all solutions } (V, I) : \mathbb{R} \to \mathbb{R}^2$

The complete model is a linear constant coefficient DAE

vertex 1:

$$V_{connector1,1} = V_{connector1,2} = V_{connector1,3}$$

 $I_{connector1,1} + I_{connector1,2} + I_{connector1,3} = 0$
 edge c:
 $V_{R_{C,1}} = V_{connector1,2}$
 $I_{R_{C,1}} + I_{connector1,2} = 0$

 vertex 2:
 $V_{R_{C,1}} - V_{R_{C,2}} = R_{C}I_{R_{C,1}}, I_{R_{C,1}} + I_{R_{C,2}} = 0$
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 vertex 5:
 $V_{R_{L,1}} - V_{R_{L,2}} = R_L I_{R_{L,1}}$
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 edge g:
 $V_{C_2} = V_{connector2,1}$
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 vertex 6:
 $V_{connector2,1} = V_{connector2,2} = V_{connector2,3}$
 $I_{connector2,1} + I_{connector2,2} + I_{connector2,3} = 0$
 edge h:
 $V_{R_{L,2}} = V_{connector2,2}$
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$$V_{\text{externalport}} = V_{\text{connector},1,1} - V_{\text{connector}2,3}$$
$$I_{\text{externalport}} = I_{\text{connector}1,1}$$

Canceling poles and zeros

Other methodologies

Differential-algebraic equations (DAEs)

Signal flow graphs

input/output thinking

There are many many examples where output-to-input connection is eminently natural:
There are many many examples where output-to-input connection is eminently natural:



input/output partition



Assume that one of these variables acts as input, the other as output.

input/output partition



Assume that one of these variables acts as input, the other as output.

Block diagram



- shows terminal variables separate
- suggests that inputs and outputs occur at different physical points

Pedagogically awkward, confusing.



allows impossible input-output connections

Does not respect the physics.















For physical systems

input-to-input & output-to-output

assignment very prevalent: force to force; pressure to pressure; heat flow to heat flow; temperature to temperature; mass flow to mass flow; ...

Physical systems are not signal processors

The input/output approach as the primary and universal view of open systems is a historical misconception.

The sooner it is abandoned as a starting point, the better.

The input/output approach as the primary and universal view of open systems is a historical misconception.

- It fails in the most elementary examples.
- It does not deal adequately with interconnections.
- It breaks symmetries.
- It does not respect the physics.
- It is pedagogically ineffective.

The sooner it is abandoned as a starting point, the better.

"Block diagrams unsuitable for serious physical modeling - the control/physics barrier

"Behavior based (declarative) modeling is a good alternative"



Karl Åström

from K.J. Åström, Present Developments in Control Applications



IFAC 50-th Anniversary Celebration Heidelberg, September 12, 2006.

Notes & arrows



My dear young man, don't take it too hard. Your work is ingenious. It's quality work. But there are simply too many notes that's all ...

Notes & arrows



Ingenious. Quality work. But there are simply too many arrows, that's all ...

Bond graphs









Interconnection variables consist of

an effort and a flow effort × flow = power Interconnection ⇔ [efforts equal] & [flows sum to 0] ⇒ power equal

'Power is the universal currency of physical systems'



Interconnection variables:

- voltage & current
- force & velocity
- pressure & mass flow
- $\begin{array}{l} \bullet \quad \text{temperature \& heat flow} \\ \text{temperature \& } \frac{\text{heat flow}}{\text{temperature}} \end{array}$



- Mechanical interconnections equate positions, not velocities.
- **Not all interconnections involve equating energy transfer.**
- Terminals are for interconnection, ports for energy transfer.



- Mechanical interconnections equate positions, not velocities.
- Not all interconnections involve equating energy transfer.
 - **Terminals for interconnection, ports for energy transfer**

This last point is illustrated for electrical interconnections.



Terminal variables and behavior:

$$(V_1, I_1, V_2, I_2, \ldots, V_n, I_n) \rightsquigarrow$$
 behavior $\mathscr{B} \subseteq (\mathbb{R}^{2n})^{\mathbb{R}}$





sum currents = 0 potentials + constant $\Rightarrow potentials$





The behavioral equations contain the variables $V_1, V_2 \dots, V_p$ only as the differences

$$V_i - V_j$$
 for $i, j = 1, ...p$

and contain the equation

$$I_1+I_2+\cdots+I_p=0$$

(potential, current)



All the terminals together form a port

(potential, current)



Viewed as 'laws' governing electrical circuits, these may be thought of as the Kirchhoff laws, KVL & KCL, This property is closed under interconnection.





Interconnection via terminals, energy transfer via ports. One cannot speak about

"the energy transferred from circuit 1 to circuit 2"

unless their interconnected terminals form a port.

Hierarchy

New modules from old ones

Tearing, zooming, linking is hierarchical:



New modules from old ones

Tearing, zooming, linking is *hierarchical*:



Embed modules in vertices, obtain behavioral equations for the interconnected system, eliminate the latent variables,

New modules from old ones

Tearing, zooming, linking is hierarchical:



Embed modules in vertices, obtain behavioral equations for the interconnected system, eliminate the latent variables, and use interconnected system as a module with terminals in a new interconnection architecture.



Model the behavior of the external terminal voltages and currents of the following circuit:





Model the behavior of the external terminal voltages and currents of the following circuit:



One section:





Model the behavior of the external terminal voltages and currents of the following circuit:



One section:



Hierarchical combination:


Circuit diagrams

Classical circuit theory evolves around a digraph with 2-terminal elements or external ports in the edges and connections in the vertices.



Circuits and graphs

Classical circuit theory evolves around a digraph with 2-terminal elements or external ports in the edges and connections in the vertices. For example,



Classical circuit theory evolves around a digraph with 2-terminal elements or external ports in the edges and connections in the vertices.



Associate a voltage drop and a current with each edge, and embed an element (say, *R*, *L*, or *C*) in each 'internal' edge.



Basic laws:

Kirchhoff's current law for each vertex:

 $\sum_{\text{edges adjacent to vertex}} \pm \text{currents in edges} = 0$

Kirchhoff's voltage law for each cycle:

 $\sum_{\text{edges in the cycle}} \pm \text{ voltage drops over edges } = 0$

Equivalently, the vertices have an electric potential.



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Equivalently, the vertices have an electric potential.

Combined with the **constitutive laws** of the elements in the 'internal' edges, this yields equations for the behavior (say, of the voltages and currents of the external ports).



This methodology is very limited:

- It can only deal with 2-terminal elements and 2-terminal external ports.
- It is purely port oriented. It does not articulate that terminals, not ports make the interconnections.
- It is not hierarchical An already-modeled-circuit cannot be reused as a subsystem in a larger circuit diagram.







Perfect for 2-terminal one-ports



There is no way to embed a 3-terminal circuit in a circuit graph,



There is no way to embed a 3-terminal circuit in a circuit graph, unless we tear the blackbox into its components





If we imbed a 4-terminal circuit into a circuit graph, it has to be a 2-port.



If we imbed a 4-terminal circuit into a circuit graph, it has to be a 2-port.



In circuit graphs, subsystems are in the edges, connections are in the vertices



In circuit graphs, subsystems are in the edges, connections are in the vertices



Contrast with tearing, zooming, linking: subsystems are in the vertices, connections are in the edges

Various facets of control

Summary



Interconnection = variable (terminal) sharing



- Interconnection = variable (terminal) sharing
- Modeling by physical systems proceeds by tearing, zooming, and linking



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- Modeling by physical systems proceeds by tearing, zooming, and linking
- Hierarchical procedure



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- Limitations of input/output thinking



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- Modeling by physical systems proceeds by tearing, zooming, and linking
- Hierarchical procedure
- Importance of latent variables and the elimination theorem
- Limitations of input/output thinking
- Control is interconnection, sensor output to actuator input feedback important special case



- Interconnection = variable (terminal) sharing
- Modeling by physical systems proceeds by tearing, zooming, and linking
- Hierarchical procedure
- Importance of latent variables and the elimination theorem
- Limitations of input/output thinking
- Control is interconnection, sensor output to actuator input feedback important special case
- Need generalization to distributed terminals, etc.

Overview

Gets the physics right

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- **1.** A dynamical system = a family of trajectories.
- **2. Interconnection = variable sharing**
- **3.** Control = interconnection

Want to read about it? See

The behavioral approach to open and interconnected systems, *Control Systems Magazine*, Volume 27, pages 46-99, 2007.

The lecture frames are available from/at

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