

Remarks
on
RLC CIRCUITS



Jan C. Willems, K.U. Leuven

Workshop on Observation and Estimation

Sde Boker, July 15, 2004

THE REALIZATION PROBLEM

Given a set of **building blocks**,
and a way to **interconnect** these building blocks,
what behaviors can be obtained?

Example 1: **State representation algorithms.** Building blocks:
adders, amplifiers, forks, integrators
(as in analog computers)

$$\rightsquigarrow \text{LTIDS} \quad \dot{\mathbf{x}} = \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u}, \quad \mathbf{y} = \mathbf{C}\mathbf{x} + \mathbf{D}\mathbf{u}.$$

Example 2: **Electrical circuit synthesis.** Building blocks:
resistors, capacitors, inductors, connectors,
transformers, gyrators.

BUILDING BLOCKS

Module Types:

Resistors, Capacitors, Inductors, Transformers, Connectors.

All terminals are of the same type: **electrical**,
and there are 2 variables associated with each terminal,

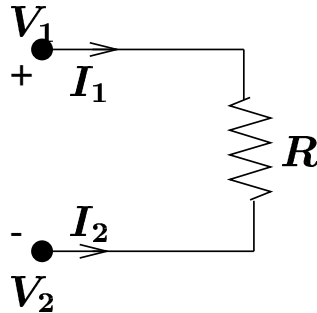
(V, I)

V the *potential*,

I the *current* (counted > 0 when it flows into the module).

\rightsquigarrow signal space of each terminal: \mathbb{R}^2 .

BUILDING BLOCKS



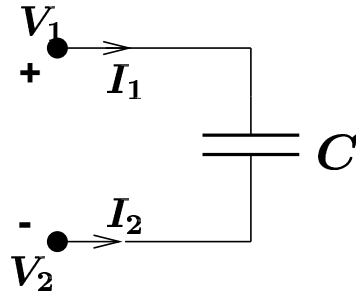
Resistor: 2-terminal module.

Parameter: $R > 0$ (resistance in ohms, say).

Device laws:

$$V_1 - V_2 = R I_1 ; \quad I_1 + I_2 = 0.$$

BUILDING BLOCKS



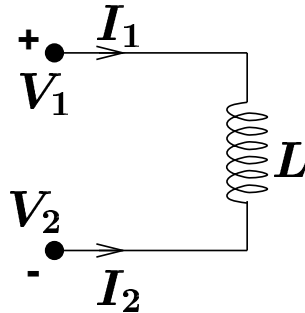
Capacitor: 2-terminal module.

Parameter: $C > 0$ (capacitance in farads, say).

Device laws:

$$C \frac{d}{dt}(V_1 - V_2) = I_1 ; \quad I_1 + I_2 = 0.$$

BUILDING BLOCKS



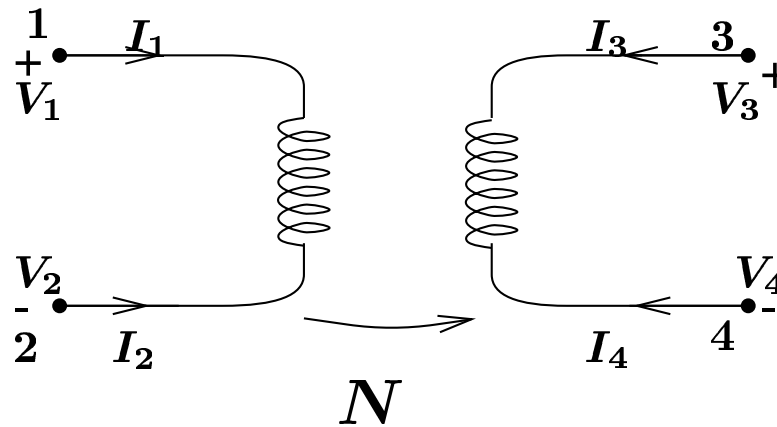
Inductor: 2-terminal module.

Parameter: $L > 0$ (inductance in henrys, say).

Device laws:

$$L \frac{d}{dt} I_1 = V_1 - V_2 ; \quad I_1 + I_2 = 0.$$

BUILDING BLOCKS



Transformer: 4-terminal module; terminals (1,2): primary;
terminals (3,4): secondary.

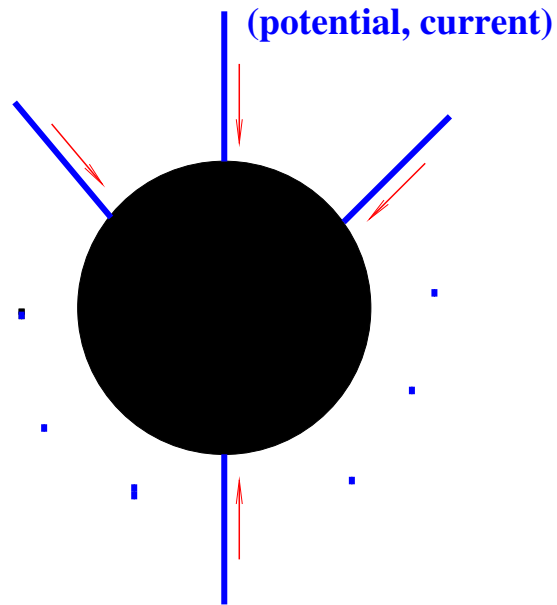
Parameter: $N \in \mathbb{R}$ (the turns ratio, $\in (0, \infty)$).

Device laws:

$$V_3 - V_4 = N(V_1 - V_2); I_1 = -NI_3;$$

$$I_1 + I_2 = 0; I_3 + I_4 = 0.$$

BUILDING BLOCKS



Connector: many-terminal module.

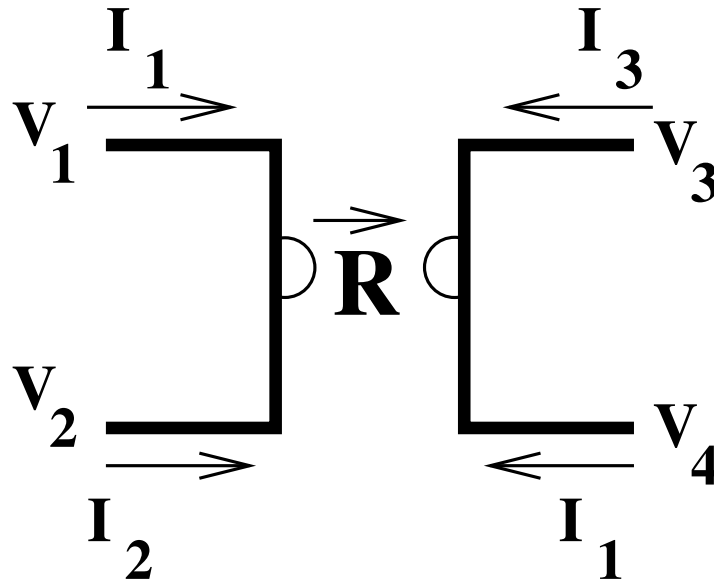
Parameter: n (number of terminals, an integer).

Device laws:

$$V_1 = V_2 = \dots = V_n; \quad I_1 + I_2 + \dots + I_n = 0.$$

BUILDING BLOCKS

In more advanced applications, we also meet the



Gyrator: 4-terminal module; (1,2): primary; (3,4): secondary.

Parameter: $R \in \mathbb{R}$ (gyrator resistance, in Ohms, say).

Device laws:

$$V_1 - V_2 = RI_3; V_3 - V_4 = -RI_1;$$

$$I_1 + I_2 = 0; I_3 + I_4 = 0.$$

INTERCONNECTION

Assume that terminal 1, with terminal variables V_1, I_1 , is connected to terminal 2, with terminal variables V_2, I_2 .

~> Interconnection constraint:

$$I(V_1, I_1, V_2, I_2) : \quad V_1 = V_2, I_1 + I_2 = 0.$$

INTERCONNECTION

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Now interconnect terminals of a (finite) number of building blocks. The result is called a(n **electrical**) **circuit**.

INTERCONNECTION

Assume that terminal 1, with terminal variables V_1, I_1 , is connected to terminal 2, with terminal variables V_2, I_2 .

↪ Interconnection constraint:

$$I(V_1, I_1, V_2, I_2) : \quad V_1 = V_2, I_1 + I_2 = 0.$$

Call the ‘unconnected’ terminals, the **external terminals**.

Number them: $(1, 2, \dots, |E|)$.

Take as **manifest variables** of the circuit, the external terminal voltages and currents : $\prod_{k \in |E|} (V_k, I_k)$.

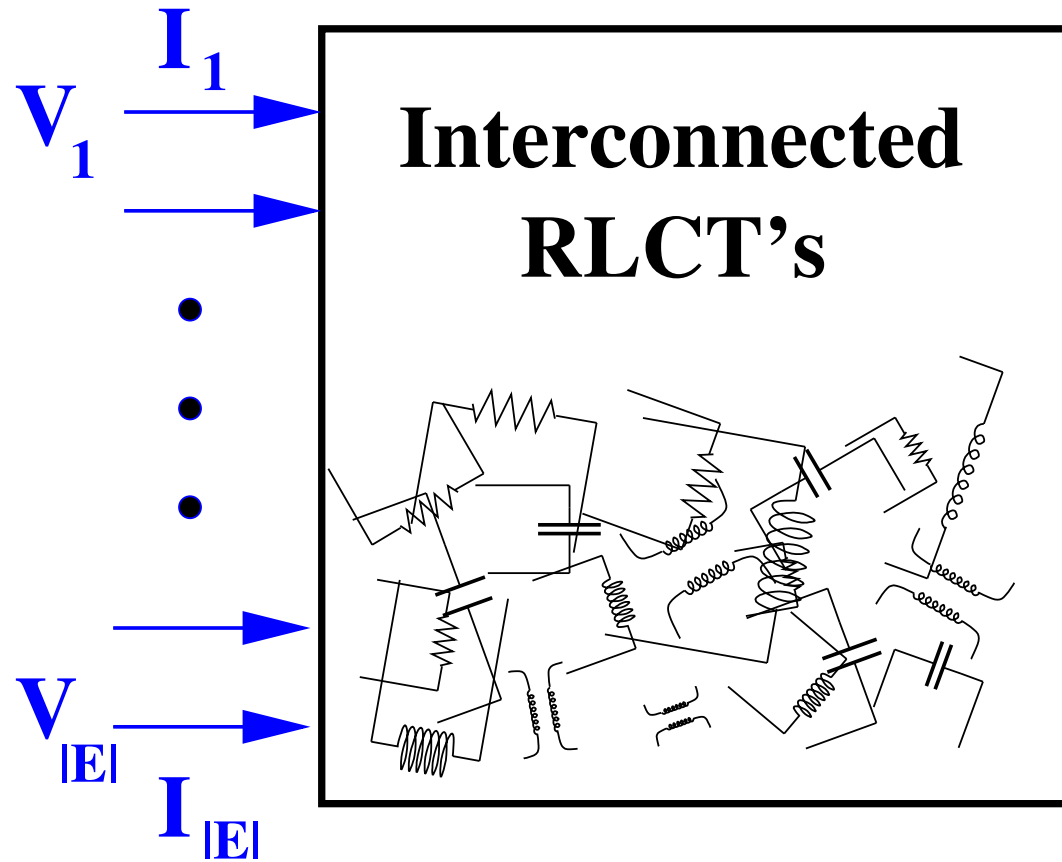
Denote $\prod_{k \in |E|} (V_k, I_k)$ as $(V, I) \in \mathbb{R}^{2|E|}$.

By carrying out the interconnections, we end up with a system

$$(\mathbb{R}, \mathbb{R}^{2|E|}, \mathfrak{B}),$$

with **external behavior**: $\mathfrak{B} \subseteq (\mathbb{R}^{2|E|})^{\mathbb{R}}$.

INTERCONNECTION



CIRCUIT SYNTHESIS

The **electrical circuit synthesis** problem can be stated as follows:

Realizability: Which external behaviors can be obtained by interconnecting a finite number of R's, C's, L's, and T's?
(or without T's, or with also G's?)

CIRCUIT SYNTHESIS

The **electrical circuit synthesis** problem can be stated as follows:

Realizability: Which external behaviors can be obtained by interconnecting a finite number of R's, C's, L's, and T's?
(or without T's, or with also G's?)

Synthesis: If a behavior is realizable, give a **wiring diagram** (an architecture) that leads to the desired external behavior.

This problem is of great importance (historical and otherwise) in electrical engineering. Important names:

Otto Brune

R.M. Foster

W. Cauer

E.A. Guillemin

Sidney Darlington

A.D. Fialkow

B.D.H. Tellegen

Dante Youla

Vitold Belevitch

etc., etc.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

We now discuss these conditions, aiming at demonstrating

- the relevance of passivity and **positive realness**
- the ease of analysis provided by the behavioral approach

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathfrak{B} \in \mathcal{L}^{2|E|}$

i.e., $\Sigma = (\mathbb{R}, \mathbb{R}^{2|E|}, \mathfrak{B})$ is a LTIDS. There are ∞ ways of stating what this means.

For example, there exists a polynomial matrix $R^{\bullet \times 2|E|} \in \mathbb{R}[\xi]$ such that \mathfrak{B} consists of the solutions of

$$R\left(\frac{d}{dt}\right) \begin{bmatrix} V \\ I \end{bmatrix} = 0.$$

Proof: **Elimination th'm.**

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathfrak{B} \in \mathcal{L}^{2|E|}$

2. **KVL**

$$(V, I) \in \mathfrak{B} \text{ and } \alpha \in \mathcal{C}^\infty(\mathbb{R}, \mathbb{R}) \Rightarrow (V + \alpha e, I) \in \mathfrak{B}$$

with

$$e = \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix}$$

Proof: Verify for each of the modules, and for the int. constraint.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathfrak{B} \in \mathcal{L}^{2|E|}$

2. KVL

3. KCL

$$(V, I) \in \mathfrak{B} \Rightarrow e^\top I = 0$$

with

$$e = \begin{bmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix}$$

Proof: Verify for each of the modules, and for the int. constraint.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathcal{B} \in \mathcal{L}^{2|E|}$
2. KVL
3. KCL
4. The input cardinality, $m(\mathcal{B}) = |E|$

In other words, there exist a partition of (V, I) in $|E|$ inputs and $|E|$ outputs, with, if you insist, a proper transfer function.

Consider this together with the next property.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathcal{B} \in \mathcal{L}^{2|E|}$
2. KVL
3. KCL
4. The input cardinality, $m(\mathcal{B}) = |E|$
5. Hybridicity

There exists an I/O repr. for which the input and output var.

$$(u_1, u_2, \dots, u_{|E|}), (y_1, y_2, \dots, y_{|E|})$$

pair as follows:

$$\{u_k, y_k\} = \{V_k, I_k\}$$

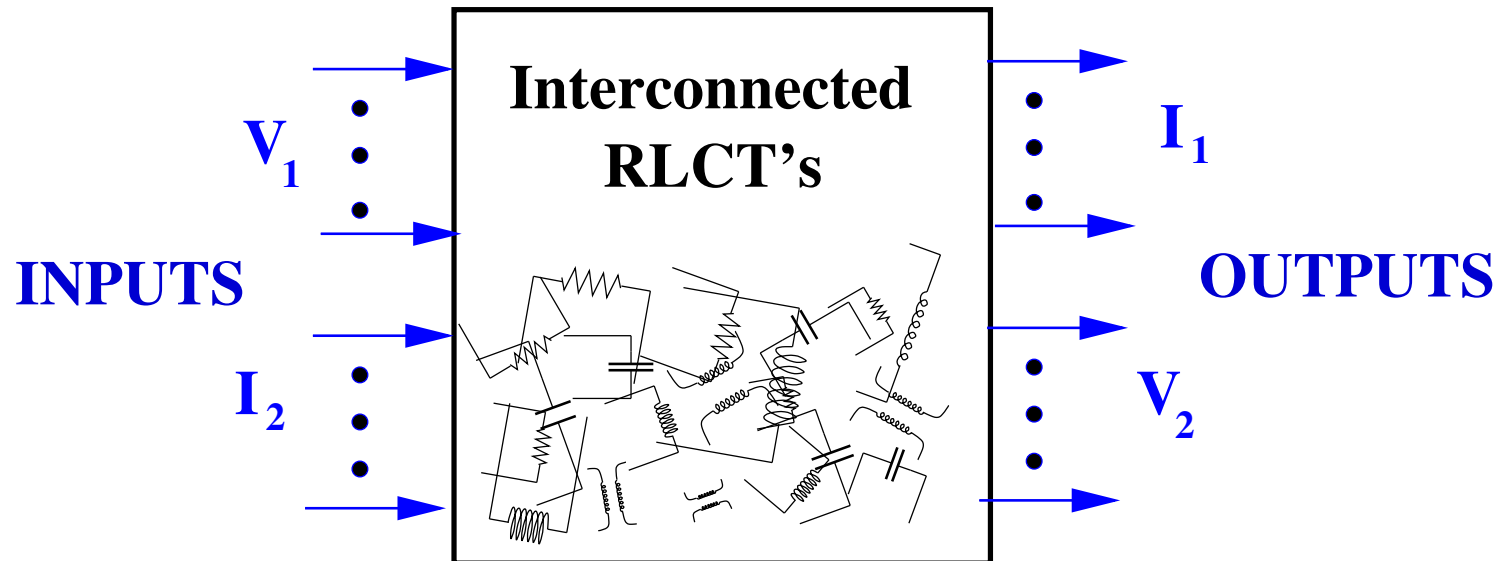
In other words, each terminal is either

current controlled or **voltage controlled**.

CIRCUIT SYNTHESIS

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CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathcal{B} \in \mathcal{L}^{2|E|}$

2. KVL

3. KCL

4. The input cardinality, $m(\mathcal{B}) = |E|$

5. Hybridicity

6. **Passivity.** From hybridicity, \mathcal{B} admits a representation as

$$\dot{\mathbf{x}} = A\mathbf{x} + B\mathbf{u}, \quad \mathbf{y} = C\mathbf{x} + D\mathbf{u}$$

This system is dissipative w.r.t. the supply rate $\mathbf{u}^\top \mathbf{y} = \mathbf{V}^\top \mathbf{I}$,
and with a quadratic positive definite storage f'n

$$V(\mathbf{x}) = \mathbf{x}^\top K\mathbf{x}, \quad K = K^\top > 0.$$

This states that the net electrical energy goes **into** the circuit.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathcal{B} \in \mathcal{L}^{2|E|}$

2. KVL

3. KCL

4. The input cardinality, $m(\mathcal{B}) = |E|$

5. Hybridicity

6. **Passivity.** It is easiest to prove properties 4, 5, and 6 together.

6: a circuit is an interconnection of passive elements, with neutral interconnection laws.

4 and 5: holds for passive circuits, prove it by considering one interconnection at the time.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathfrak{B} \in \mathcal{L}^{2|E|}$

2. KVL

3. KCL

4. The input cardinality, $m(\mathfrak{B}) = |E|$

5. Hybridicity

6. Passivity.

7. Reciprocity. The transfer f'n G is **signature symmetric**, i.e.

$$\Sigma G = G^T \Sigma.$$

Σ is the **signature matrix** $\Sigma = \text{diag}(s_1, s_2, \dots, s_{|E|})$,

with $s_k = +1$ if terminal k is voltage controlled,

and $s_k = -1$ if terminal k is current controlled.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathcal{B} \in \mathcal{L}^{2|E|}$
2. KVL
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7. Reciprocity.

This curious properties may be translated as:

The influence of terminal k' on terminal k'' is equal to the influence of terminal k'' on terminal k' .

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

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Proof: Show that each of the modules satisfy property (7). Show that this property remain valid after interconnection, i.e. proceed again one interconnection at the time.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

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3. KCL
4. The input cardinality, $m(\mathfrak{B}) = |E|$
5. Hybridicity
6. Passivity.
7. Reciprocity.

If \mathfrak{B} is **controllable** then these conditions are also sufficient for realizability. However, in order to obtain a 'clean' statement, it is convenient to eliminate $I_{|E|} = -I_1 - I_2 - \dots - I_{|E|-1}$, and look at the behavior of $(V_1 - V_{|E|}, V_2 - V_{|E|}, \dots, V_{|E|-1} - V_{|E|}, I_1, I_2, \dots, I_{|E|-1})$.

CIRCUIT SYNTHESIS

We list **seven necessary** conditions!

1. $\mathfrak{B} \in \mathcal{L}^{2|E|}$
2. KVL
3. KCL
4. The input cardinality, $m(\mathfrak{B}) = |E|$
5. Hybridicity
6. Passivity.
7. Reciprocity.

*The transfer function $G \in \mathbb{R}^{(|E|-1) \times (|E|-1)}$ is realizable using RLCT's if and only if it is **signature symmetric and positive real.***

CIRCUIT SYNTHESIS

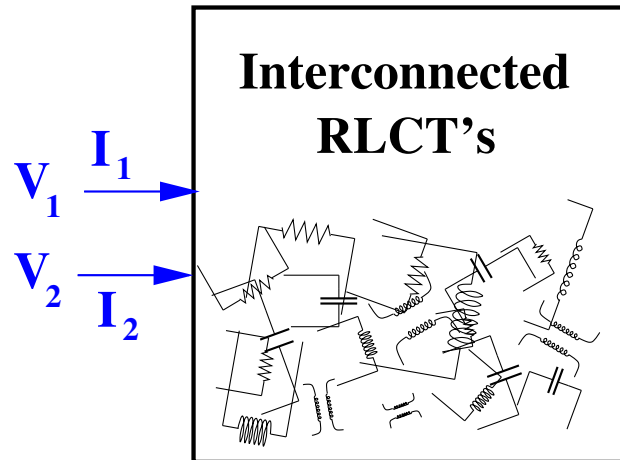
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*The transfer function $G \in \mathbb{R}^{(|E|-1) \times (|E|-1)}$ is realizable using RLCTG's if and only if it is **positive real.***

SYNTHESIS of DRIVING POINT IMPEDANCES

Consider a 2-terminal circuit



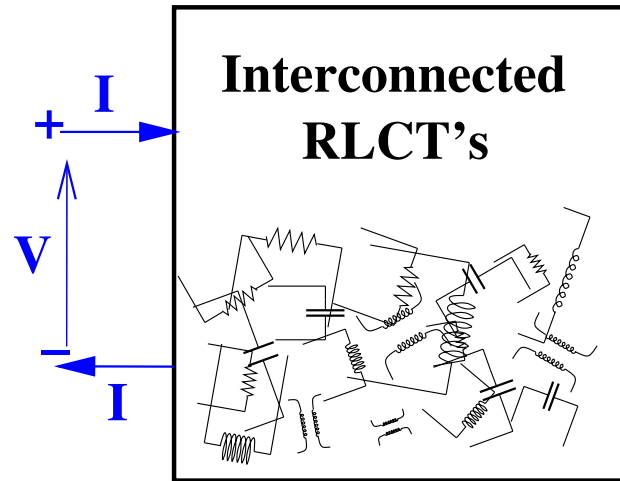
KCL $\Rightarrow I_1 + I_2 = 0$. Set $I := I_1 = -I_2$.

KVL \Rightarrow the beh. eq'ns involve only $V_1 - V_2$. Set $V := V_1 - V_2$.

The behavior of (V, I) is called the **port description**.

SYNTHESIS of DRIVING POINT IMPEDANCES

Port description:



Z , the transfer f'n $I \mapsto V$ is called the **driving point impedance**.
Note that Z need not be proper.

Which driving point impedances are realizable?

SYNTHESIS of DRIVING POINT IMPEDANCES

Which driving point impedances are realizable?

$Z \in \mathbb{R}(\xi)$ is the driving point impedance of an electrical circuit that consists of an interconnection of a finite number of positive R 's, positive L 's, positive C 's, and transformers if and only if Z is positive real.

SYNTHESIS of DRIVING POINT IMPEDANCES

Which driving point impedances are realizable?

$Z \in \mathbb{R}(\xi)$ is the driving point impedance of an electrical circuit that consists of an interconnection of a finite number of positive R 's, positive L 's, positive C 's, and transformers if and only if Z is positive real.

This result led to the introduction of **positive real functions**. First proven by Otto Brune in his M.I.T. Ph.D. dissertation (see O. Brune, *Synthesis of a finite two-terminal network whose driving point impedance is a prescribed function of frequency*, Journal of Mathematics and Physics, volume 10, pages 191-236, 1931).

SYNTHESIS of DRIVING POINT IMPEDANCES

Which driving point impedances are realizable?

$Z \in \mathbb{R}(\xi)$ is the driving point impedance of an electrical circuit that consists of an interconnection of a finite number of positive R 's, positive L 's, positive C 's, and transformers if and only if Z is positive real.

Are transformers needed?

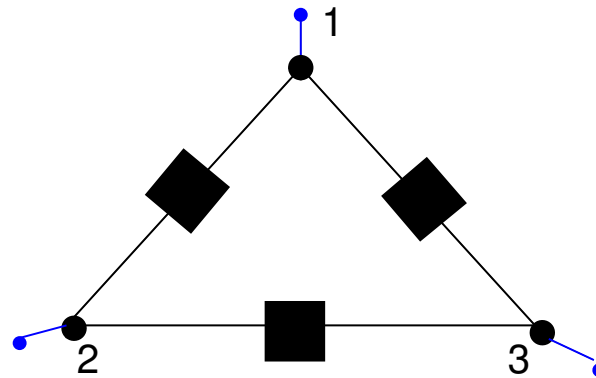
In 1949, Bott and Duffin proved 'no' in a one-page (!) paper (see R. Bott and R.J. Duffin, *Impedance synthesis without transformers*, Journal of Applied Physics, vol. 20, page 816, 1949). However, their synthesis has common factors, non-controllability!

REMARK

TERMINALS versus PORTS

Note that we have used throughout the **terminal description** of circuits. It is simply more appropriate and more general (even when using only ‘port’ devices).

Example:



However, port descriptions are more parsimonious in the choice of variables (it halves their number).

RECAP

- **Realizability theory: an important engineering oriented problem area.**
- **The analysis and synthesis of RLCT circuits is an important application of passive systems.**
- **7 necessary conditions for realizability by passive R,L,C,T's: differential system, KVL, KCL, input cardinality, hybridicity, passivity, and reciprocity.**
- **In the controllable case these conditions are also sufficient.**
- **It is the circuit synthesis problem that led to positive realness.**